

# Public Document Pack



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PUBLIC

To: Members of Cabinet

Friday, 26 November 2021

Dear Councillor,

Please attend a meeting of the **Cabinet** to be held at **2.00 pm** on **Monday, 6 December 2021** in the Members Room, County Hall, Matlock, the agenda for which is set out below.

Yours faithfully

A handwritten signature in black ink that reads 'Helen E. Barrington'.

**Helen Barrington**  
**Director of Legal and Democratic Services**

## **AGENDA**

### **PART I - NON-EXEMPT ITEMS**

1. To receive apologies for absence
2. To receive declarations of interest (if any)
3. To consider Minority Group Leader questions (if any)

Minority Group Leaders in attendance at the meeting are able to ask questions on agenda items. Any questions should be provided in writing no later than 12 noon on the day before the meeting.

4. To confirm the minutes of the meeting of Cabinet held on 18 November 2021 (Pages 1 - 10)

To consider reports as follows:

- 5 (a) Voluntary and Community Sector Review (Pages 11 - 60)
- 5 (b) Voluntary and Community Sector Infrastructure Provision (Pages 61 - 72)
- 5 (c) The Forward Plan (Pages 73 - 86)
- 5 (d) Public Engagement on Transport and Regeneration (Pages 87 - 96)
- 5 (e) Physical Activity Programmes Grants for District and Borough and SHIFT to March 2023 (Pages 97 - 104)
- 5 (f) Children's Services Capital Programme 2021-22 S106 Allocation for the expansion at the Ecclesbourne School (Pages 105 - 110)
6. Exclusion of the Public

To move "That under Regulation 4 (2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them."

## **PART II - EXEMPT ITEMS**

7. To receive declarations of interest (if any)
8. To consider Minority Group Leader questions (if any)

Minority Group Leaders in attendance at the meeting are able to ask questions on agenda items. Any questions should be provided in writing no later than 12 noon on the day before the meeting.

9. To confirm the exempt minutes of the meeting of Cabinet held on 18 November 2021 (Pages 111 - 116)

PUBLIC

**MINUTES** of a meeting of **CABINET** held on 18 November 2021 at County Hall, Matlock.

**PRESENT**

Councillor B Lewis (in the Chair)

Councillors K S Athwal, A Dale, C A Hart, N Hoy, T King, C Renwick and S A Spencer.

Apologies for Absence were submitted on behalf of Councillor J Patten, and E Alexander.

**Declarations of Interest**

There were no declarations of interest.

**187/21 MINORITY GROUP LEADERS' QUESTIONS**

The following questions had been submitted on behalf of Councillor J Dixon:

**Agenda Item 5 (c) – The Future of Direct Care Homes for Older People**

1. The Cabinet paper of 4th June on the future of the 7 care homes proposed for closure stated,

“The Council has taken account of the feedback received during the consultation and has determined that none of the homes should close at this time unless a direct alternative in the local community can be provided. If there are no direct alternatives in the local community the intention would be to develop these prior to considering the future of the seven homes originally proposed for closure.”

1. As there are no direct alternatives for all of these homes, what work has been done to develop these prior to the consultation now proposed?

2. When will the final Market Position Statement be presented and will it include the impact of the 2022/23 settlement on care homes in the Private, Voluntary and Independent sectors?

3. When will updated costings of the repairs to the 7 care homes be produced, to take account of all of the work already undertaken?

4. What is the Council's assessment of the additional cost to families of care home placements in the PVI sector once place in the 7 care homes are no longer available?

5. What provision is there in each community affected by the proposed closure of care homes for (a) respite care for family carers, (b) emergency step-up care when required for frail residents living in the community, (c) step-down care to enable quicker and smoother transitions from hospital stays for elderly and frail patients?

### **Agenda Item 5 (f) – Project Management within County Property**

1. Why has the Derbyshire Trading Company with cross-party oversight of the outsourced companies not been set up as promised, over a year after the original outsourcing was done, when will this take place, and what provisions are there to ensure that the scrutiny required is working effectively before any further transfers are undertaken?

2. When all contracting decisions are made by Concertus Derbyshire Ltd, what oversight will be retained by Derbyshire County Council?

3. When will audited accounts of Vertas Derbyshire and Concertus Derbyshire Ltd be issued and what scrutiny will the Council have of those accounts?

Councillor J Dixon was not in attendance at the meeting so would be provided with a written answer to the above questions.

**188/21** **MINUTES RESOLVED** that the non-exempt minutes of the meeting of Cabinet held on 14 October 2021 be confirmed as a correct record.

**189/21** **WHISTLEBLOWING POLICY** (Corporate Services & Budget)

The Council had in place the Confidential Reporting Code, which was approved in November 2016, a copy of which had attached at Appendix 2 for information. It had been reviewed to ensure that it was up to date and fit for purpose. When conducting the review, the Guidance for Employers and Code of Practice issued by the Department for Business Innovation & Skills had been taken into account. The guidance made it clear there was no one-size-fits-all whistleblowing policy, however, provided some tips about what a policy should include. It recognised policies would vary depending on the size and nature of the organisation, but should be clear, simple and easily understood.

In light of the guidance, the Confidential Reporting Code had been renamed Whistleblowing Policy as it was considered the purpose of the document would be more clearly understood. The document had also been re-

drafted so that it was easier to read. The draft Policy set out how the Council would handle and respond to any whistleblowing allegations.

Once approved, the Council would ensure the Policy was publicised via the intranet and the employee newsletter and provide training. It would also be published on the internet. This would ensure that individuals were aware of the policy and how to make a disclosure.

Subject to Cabinet approving the new Policy, it would also be necessary to remove references to the Confidential Reporting Code and replace with Whistleblowing Policy in the Constitution, specifically the Code of Conduct for Officer, Financial Regulations and role and function of the Governance, Ethics and Standards Committee. This would be addressed through reports to Governance, Ethics and Standards Committee and Council.

**RESOLVED** to (1) approve the Whistleblowing Policy at Appendix 3 to the report; (2) note that once the Policy was approved it would be promoted both internally and externally; and (3) recommend to Council that Confidential Reporting Code be replaced with Whistleblowing Policy in the Constitution, specifically the Code of Conduct for Officer, Financial Regulations and role and function of the Governance, Ethics and Standards Committee.

**190/21**      **FORWARD PLAN** (Corporate Services & Budget)      An independent review of the Council's Scrutiny function was undertaken in 2020 by the Centre for Governance and Scrutiny. The report commented upon several areas, including the proposal for a Forward Plan which looked to the future, for a period longer than the statutory requirement.

It had been believed that such a Forward Plan would enable the four Improvement and Scrutiny Committees to prepare better informed work programmes. This would equally apply to the work programme of the new Improvement and Scrutiny Committee – Climate Change, Biodiversity and Carbon Reduction. It had been agreed that a four-month rolling Forward Plan be considered by CMT and then by Cabinet monthly. This approach should improve planning and horizon-scanning.

In the interests of effective coordination and public transparency, the Forward Plan included any item that was likely to require an Executive decision of Cabinet or Cabinet Member whether a key decision or not. The Forward Plan covered the forthcoming 4 months and would be updated on a rolling monthly basis. All items had been discussed and approved by the Corporate Management Team.

The Forward Plan was ultimately the responsibility of the Leader and Cabinet as it contained executive business due for decision. A copy of the Forward Plan covering the period 1 November 2021 – 28 February 2022 had

been attached at Appendix 2. The document was originally published on 20 October to comply with the requirements to give 28 days' notice of key decisions to be considered at the 18 November Cabinet meeting.

**RESOLVED** to note the contents of the Forward Plan attached at Appendix 2 to the report and comments on future decisions for inclusion in the Forward Plan, where appropriate.

**191/21      THE FUTURE OF DIRECT CARE HOMES FOR OLDER PEOPLE** (Adult Care)

The Council owned and ran 23 residential care homes for older people. In 2018, detailed property condition surveys were commissioned in relation to a number of these homes, focusing on general building condition and electrical systems in the older homes. The reports were commissioned in the context of concerns that the ageing buildings were no longer considered fit for purpose and a concern for the state of the buildings given their age and the ongoing need to ensure the safety and wellbeing of residents both now and in the future. The Director of Adult Social Services (DASS) advised Cabinet Members that an appropriate and rapid course of action was needed to mitigate the concerns raised and thereby ensure the ongoing safety of residents.

Significant maintenance, renovation and refurbishment needs were identified which included the requirement for arrangements to be made for the homes to be rewired by September 2022 in addition to significant refurbishment works, including the replacement of boilers and heating systems, the refitting of all bathrooms and kitchens and the installation of sprinkler systems throughout the buildings. To complete these major works Adult Care was advised that there would be significant disruption to residents including the potential requirement for people to move out of their home for up to 40 weeks whilst the work was undertaken.

As a result of the inspections and alongside implementing the urgent mitigation measures, a consultation exercise was undertaken in early 2020 on proposals for the future of ten of the homes. It had proposed that approval was sought to commence consultation; with the possible closure of the seven homes subject to securing local reasonable and suitable alternative provision for the current residents set out as the perceived most viable option within that consultation.

**RESOLVED** to approve (1) the programme of formal public consultation on the future of the homes listed below (one of which included an integral day centre), including possible closure, for a period of 12 weeks, to be conducted as set out in the report:

- Ladycross House (Sandiacre)
- Beechcroft (West Hallam)
- East Clune (Clowne)

- Holmlea (Tibshelf)
- The Spinney (Brimington)
- Goyt Valley House (New Mills)
- Gernon Manner (Bakewell)

and (2) that a further report would be received following the conclusion of the consultation and market engagement processes, including a full Equality Impact Analysis.

**192/21 THE TRANSFER OF THE INFANT TODDLER AND NUTRITION SERVICE AND ORAL HEALTH PROMOTION SERVICE INTO THE EXISTING SECTION 75 PARTNERSHIP AGREEMENT** (Health and Communities)

On 26 July 2018, Cabinet approved an enterprising approach to providing key public health services for the 0-19 age group and their families. This included the transfer of responsibility for NCMP to the Council's Live Life Better Derbyshire Service, and the development of a Section 75 Partnership Agreement between the Council (Public Health and Children's Services) and DCHS for the delivery of the 0-19 Public Health Nursing Service. The original budget for the 0-19 Public Health Nursing Service as part of the Section 75 was £12.000m per annum, however on 19 November 2020 Cabinet approved a pay up lift of £0.500m per annum increasing the annual budget to £12.500m. In addition, Cabinet approved the transfer of £1.000m per annum to support Children's Centres to continue to deliver services to vulnerable families across Derbyshire.

Service delivery commenced on 1 October 2019 and Cabinet approved sign off of the Section 75 Partnership Agreement on 10 October 2019. The Section 75 Agreement was completed on 24 December 2019.

The report sought to transfer the following two services into the current Section 75 Partnership Agreement, both of which were currently commissioned by Public Health and were delivered by DCHS:

- Infant and Toddler Nutrition Service
- Oral Health Promotion Service

**RESOLVED** to (1) approve that a public consultation exercise was undertaken in conjunction with Derbyshire Community Health Services NHS Foundation Trust on transferring the Infant and Toddler Nutrition and Oral Health Promotion Services into the existing Section 75 Partnership Agreement; (2) that, subject to the outcome of the public consultation, Cabinet endorsed transferring both services into the Section 75 Partnership Agreement; and (3) that, subject to the outcome of the public consultation, Cabinet delegated authority to the Director of Public Health and Cabinet Member for Health and Communities to take any further decisions required to

complete the transfer of both services into the Section 75 Partnership Agreement.

**193/21 CHANGES TO TEMPORARY PAYMENT ARRANGEMENTS TO BUS, COACH AND TAXI OPERATORS FOR CONTRACTED TRANSPORT SERVICES AND CONCESSIONARY FARES REIMBURSEMENT FROM 1 JANUARY 2022 DUE TO THE ONGOING IMPACT OF CORONAVIRUS**

(Highways, Assets and Transport) On 20 March 2020, following the worsening health situation and the Government's more specific advice to avoid travel by public transport, it became clear that urgent action was required to secure the immediate future of the Council's contracted transport services and public bus services in Derbyshire more generally. The then Executive Director - Economy, Transport and Environment therefore made an urgent decision to maintain normal contract payments to transport providers who operated services for the Council.

At the same time, the then Executive Director also agreed to maintain payments to bus operators for accepting English National Concessionary Travel Scheme (ENCTS) cards, known as Gold Card in Derbyshire, based on the level of travel before the pandemic. Both of these arrangements were to continue until 30 June 2020.

The urgent decision was the subject of an Officer Decision Record (ODR) agreed by Gold CMT on 20 March 2020. It was also reinforced with a Cabinet Office 'Procurement Policy Note' 02/20 (PPN) about supplier relief. The Cabinet Office guidance advised public bodies to issue a Memorandum of Understanding (MoU) to suppliers about terms of payment relief to allow for them to undertake other civic duties in the national emergency. A variation of contract notice was issued and agreed by all transport providers in Derbyshire.

As part of the Council's responsive approach to service provision, on 22 October 2020, the then Director – Economy, Transport and Environment made a further urgent decision that took account of changes in the impact of the COVID-19 pandemic at the time and the need for ongoing support to facilitate the recovery of transport services in Derbyshire. A schedule of revised payment arrangements were agreed effective from 1 November 2020.

Many of the fundamental concerns which led to the series of decisions made in the 18 months from March 2020 continued to be valid. With the continued uncertainty about the impact of COVID-19 on the long term demand for public transport services and wider Government policy changes in the bus sector, the Council needed to maintain a level of flexibility and responsiveness in the way bus services were supported, managed and commissioned until the way ahead became clearer. It had been proposed



that these payment arrangements remained in place until 30 September 2022, or until an assessment of ongoing requirements was completed and alternative recommendations were made, whichever was soonest.

**RESOLVED** to (1) note the current situation with regard to Covid-19 recovery and public transport services in the County, and the need to maintain flexibility and responsiveness in the adopted approaches; and (2) approve the proposed payment arrangements to bus, coach and taxi operators for contracted Council transport services and concessionary fares reimbursement from 1 January 2022 until 30 September 2022, or until the completion of the assessment of ongoing transport requirements was completed and alternative recommendations made, or until changes in Government guidance if this was earlier.

#### **194/21      PROJECT MANAGEMENT WITHIN CORPORATE PROPERTY**

(Corporate Services and Budget)      In 2018, a review of Corporate Property was undertaken by Ernst Young as part of the Authority's plans to become an Enterprising Council. The review recommended that a new operating model was required for Corporate Property and in September 2019, as part of the change Cabinet approved the creation of two joint venture companies, Vertas Derbyshire Limited ('VDL') and Concertus Derbyshire Limited. Each company was a joint venture ('JV') owned by the Council and a company owned by Suffolk County Council.

In September 2020, the Council's joint venture CDL went live. At that time, the Council's design team was transferred to the JV, with all the existing and proposed capital projects. These projects were referred to as the pipeline of work. Although all projects were included in the pipeline, and the Council made available as much information as it had about these projects it was not possible for Concertus, the Council's JV partner, to undertake the level of due diligence it would have anticipated, as the information was not held by the Council.

Between September 2020 and March 2021, CDL and the Council largely continued to operate in the same way as the Council had prior to transfer. This continuation allowed CDL to fully understand the services it had taken over from the Council. Between January and May 2021, a joint steering group was established with representation from CDL, the Council's Projects and finance teams and the CDL JV Board. The steering group reviewed processes and procedures and working arrangements between the parties and made some improvements, although, it became apparent to the group that more significant changes were required. Accordingly, CDL was asked by the Council to prepare a more comprehensive Service Improvement Plan.

A detailed programme had been prepared with milestone dates to be noted. In addition to the transfer of the activity to CDL, it would be necessary

for the Council to revise a number of its other processes, including billing and performance management around CDL.

**RESOLVED** to note the report as a further report later in the meeting was being asked to approve the proposals.

**195/21**                    **EXCLUSION OF THE PUBLIC FROM THE MEETING**

**RESOLVED** that under Regulation 4(2)(b) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012, the public be excluded from the meeting for the following items of business on the grounds that in view of the nature of the items of business, that if members of the public were present, exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 would be disclosed to them.

**SUMMARY OF PROCEEDINGS CONDUCTED AFTER THE PUBLIC HAD BEEN EXCLUDED FROM THE MEETING**

1.     Minority Group Leaders' Questions
  
2.     To confirm the Exempt Minutes of the meeting of Cabinet held on 14 October 2021.
  
3.     To consider the following exempt reports:
  - (a)    Project Management within Corporate Property and Business Case (Corporate Services and Budget) (not for publication by virtue of paragraphs 2 & 3 of part 1 of schedule 12a to the local government act 1972)
  - (b)    Procurement Strategy Refresh 2021-2026 and Procurement Strategy (Corporate Services and Budget) (contains information relating to the financial or business affairs of a particular person (including the authority holding that information))
  - (c)    Urgent Officer Decision 3G All Weather Pitch Contracts (Corporate Services and Budget) (exempt from publication by virtue of paragraph 3 of part 1 of schedule 12a of the local government act 1972 (information relating to the financial or business affairs of any particular person (including the authority holding that information))
  - (d)    Great British Car Journey (GBCJ) - Request for Loan Funding (Corporate Services and Budget) (exempt from publication by virtue of Paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972)
  - (e)    Extension of block payments for the Derbyshire Integrated Sexual Health Service for quarter 3 and quarter 4 of the 2021-22 financial year (Health and Communities) (not for publication by virtue of

paragraph 3 of part 1 of schedule 12a to the local government act  
1972)

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Agenda Item

**FOR PUBLICATION**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**6 DECEMBER 2021**

**Report of the Managing Executive Director and  
Report of the Executive Director for Adult Social Care and Health**

**VOLUNTARY AND COMMUNITY SECTOR REVIEW**  
(Health and Communities)

**1. Divisions Affected**

1.1 County-wide

**2. Key Decision**

2.1 This is a key decision because it is likely to result in the Council incurring expenditure which is, or savings which are significant having regard to the budget for the service or function concerned and it is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas in the County.

**3. Purpose**

3.1 To provide an update and to seek approval to consult on the proposals for the Council's review of voluntary and community sector (VCS) recurring grants.

**4. Information and Analysis**

4.1 Background

The VCS play a crucial role in Derbyshire supporting local communities and delivering a wide range of activity to meet local needs. The Council provides approximately £3 million of grant funding to VCS organisations on average each year to support the sector. Ensuring that future resources allocated to the VCS support the Council's ambitions and encourage the long-term sustainability of the sector are key priorities. Underpinning these priorities is the need to secure improved outcomes for Derbyshire which reflect the level of investment whilst having a cost effective and coordinated approach for the future.

On 28 February 2019, Cabinet approved proposals to undertake a council-wide review of VCS grants. It was agreed that the review would:

- Consider all grants provided by the Council to VCS organisations in Derbyshire and subsequently develop a coordinated and consistent council wide approach to allocate future resources.
- Consider the support provided by the Council to VCS infrastructure organisations across Derbyshire, in collaboration with partners, and develop a new model for allocating funding moving forward which supports the Council's ambitions and priorities.

The county wide review of grants commenced with an audit of voluntary and community sector grants in 2019. The audit highlighted the complexity of grant funding arrangements across the Council but recognised in general that the grants it provides fall into two main categories:

- One-off grants – grants which are provided to a wide range of organisations to typically fund and deliver time limited activity or capital projects. One-off grants are finite in nature with no expectation that the organisation receiving the award will receive further funding following delivery.
- Recurring grants – annual grants which fund the same activity and are awarded to the same organisations year on year. In many cases these grants have been awarded for a significant period and it is less clear when funding should cease.

The review of all existing 'recurring' grants commenced in July 2020 in line with the Council's agreed approach. Alongside analysis of individual grants, the review process has involved a gathering information on existing awards in scope and developing a shared understanding of the VCS funding landscape across the Council.

A summary of the grants which fall under the scope of this recurring grants review is set out below:

### **VCS Recurring Grants Under Review**

	<b>Number</b>	<b>£'s</b>
General Adult Care VCS Funding	34	506,309
Public Health 50+ Forums	9	6,925
General Corporate VCS Funding	9	127,065
Arts Service Grants	10	137,812
<b>Total</b>	<b>62</b>	<b>778,111</b>

A number of reports outlining progress on the review have been presented to Cabinet. The most recent report to Cabinet on 29 July 2021 extended recurrent grant payments to VCS organisations for a period of six months from 1 October 2021 to 31 March 2022. This was to ensure that organisations were not adversely affected during the review process and to maintain the security and stability of the sector, whilst the review progressed and engagement with key stakeholders took place.

It was agreed that a separate report, outlining the proposals for how grants will be reviewed be presented to Cabinet for consultation after September 2021 and that it was essential that the recurring grants review be completed in the context of the wider review of grant funding, recognising that there were other elements of the approach which would be key to its success.

#### **4.3 General Voluntary and Community Sector Funding Review**

Since July 2021, work has taken place to finalise proposals for the review. The Council has also engaged with those organisations currently in receipt of a recurring grant payment to ensure there is a shared understanding of the process moving forward and wrote to all organisations following publication of the Cabinet report on 29 July 2021 informing them of the Council's intentions. A number of organisations have sought further information about the review process and discussions and meetings have taken place with those organisations over the last four months.

The Council has also engaged with other key stakeholders such as the Derby and Derbyshire Clinical Commissioning Group and district and borough councils to ensure that they are fully informed of plans and decision-making timetables and to ensure that there is a shared

understanding of organisational priorities and approaches to grant funding the sector moving forward.

Work to develop a consistent approach to taking forward the review has established a proposal as to how the review should be undertaken, setting out the proposed approach. Proposed assessment criteria and consultation plans have also been developed to support review officers to achieve a systematic approach to all grant reviews that are taking place.

#### 4.4 Principle of no Longer Supporting the Award of Grant Funding Without a Fixed End Date

The review of general VCS grants identified that many recurring grants to voluntary and community sector organisations have been extended year on year for significant periods of time without review or a competitive process to award funding. In a number of cases, grants have not been reviewed at all despite funding being allocated over a significant number of years. There are thousands of VCS organisations in Derbyshire, however only a small proportion of them receive awards from the Council.

Whilst the Council is willing to continue its support to VCS organisations through one-off grant funding, it is recommended that Cabinet agree to consult on whether the Council should adopt the principle that it will no longer support the award of grant funding to any organisation in the future without a fixed end date (save in exceptional circumstances).

Although the Council only awards grant funding for a finite period and therefore technically there is always an end date to all grant funding it awards, it is recognised that over time the Council has extended grants to organisations and therefore in practice it has not consistently acted in a manner which reflects the position in that respect. If the Council were to adopt the principle referred to above, it is recognised that this would be likely to result in changes in practice to the way in which current recipients of recurring grant funding are funded in the future.

The Derbyshire Compact outlines the Council's obligations to undertake 12 weeks consultation on any proposals which would result in changes to funding arrangements for VCS organisations. It is important therefore that the Council consults with the sector on this principle, to understand the impact of imposing end dates on grants where the Council might not have expressly done so in the past.



At present, and subject to the proposed consultation, it is considered that adopting the principle referred to above would be an important part of the new approach for grant funding the VCS and would be likely to support the following outcomes:

- Ensuring grant funding meets the ambitions and priorities of the Council and emerging community needs
- Ensuring grant funding can be allocated to new organisations and new activities where appropriate; and
- Ensuring that activities funded by grants can be commissioned where appropriate.

At present, and subject to the proposed consultation, it is considered that adopting the principle referred to above would be likely to increase the annual amount of funding available for one-off grant funded activity to a wider range of organisations delivering activities which are aligned to the Council's strategic aims and any emerging community need (see further section 4.8 below). If the proposed approach were adopted., organisations awarded a grant would be made aware when applying for funding, that funding is finite in nature and therefore not have expectations that additional grant funding would be available or continue following the allocation of the original award.

#### 4.5 Proposed Approach for the Review

If the principle of no longer awarding grant funding without a fixed end date (as outlined above) were to be adopted, the proposed approach is that all organisations which are currently receiving grant funding would be issued a fixed date when their grant funding would end. This proposal would not result in the blanket cessation of funding from the Council to organisations for specified activities. Rather, it is proposed that the Council would commission the activities to which the grant relates where either it is a service that is necessary for the Council to discharge its statutory obligations; or it meets the Council's strategic aims and priorities, provides value for money and supports a fair and equitable distribution of resources.

Commissioning services as opposed to the current process of annually extending grants would provide the organisation in receipt of funding a more stable platform to grow and enhance their offer in achieving intended outcomes. Funding would be reviewed regularly to ensure that activity continues to meet the Council's strategic aims and continues to be fit for purpose. As is the case for commissioned activity generally, there would be an expectation that organisations would apply and re-apply for future funding in the future in line with agreed timescales, with no guarantee of success.

When gathering information on and analysing the current recurring awards of grant funding, it has been recognised that a number of grants are similar in nature and closely relate to other provision or commissioned services across the Council – for example, advice services. It is therefore proposed that current grant awards which fall into this category be redesigned and commissioned thematically.

It is proposed that the Review be progressed in two stages sequentially as follows:

1. Confirming the review process – outlining to key stakeholders the review proposal, seeking their views and confirming the agreed process.
2. Implementing the agreed process – assessing the grants in line with agreed proposals, seeking representations on the outcomes of the assessment and completing the review.

#### 4.5.1 Proposed Stage One: The Pathway Process

The Council has designed a clear process for reviewing all recurring grants and issuing fixed end-dates to organisations in receipt of grant funding. Grants in scope of the review would be placed onto 'pathways'. This would enable and support organisations in understanding the approach in relation to their grant funding, by indicating the anticipated outcome or end point of the process.

It is proposed that grants are placed onto either one of the following two distinct pathways:

- Pathway 1: Future funding to be secured through commissioning
- Pathway 2: Future funding to cease.

Placing all grants on either Pathway 1 or Pathway 2 would mean that every organisation currently receiving a recurring grant from the Council would experience a change to their existing funding arrangements. The extent of change on individual organisations would however depend on which pathway a grant is placed on.

#### 4.5.2 Proposed Criteria for Assessment

To ascertain which pathway a grant is placed on and to determine whether funding would be secured through commissioning or not, the Council has devised a series of proposed criteria to be used for assessment, as follows:

Criteria One:

*Funding provides a service which is required in order to ensure that the Council complies with its legal obligations*

It is essential that activities that meet criteria one are commissioned moving forward as they form part of the Council's core offer.

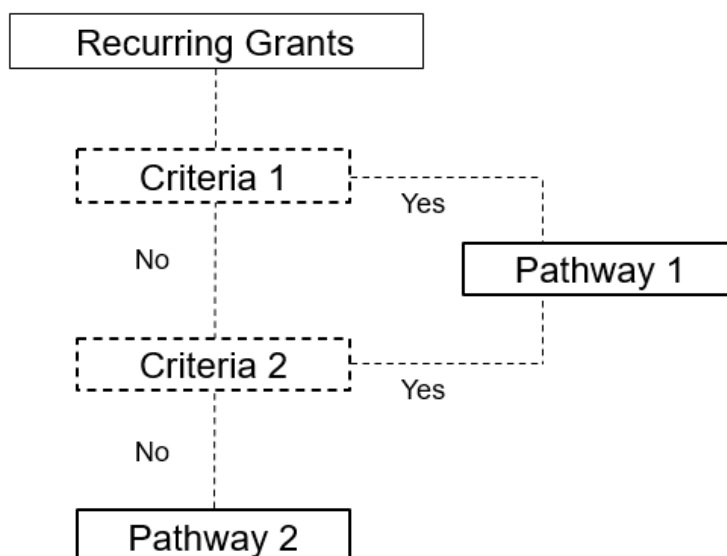
Criteria Two:

*Funding:*

- *Meets the Councils strategic aims and priorities;*
- *Provides value for money; and*
- *Supports fair and equitable allocation of resources.*

It is desirable that activities which meet criteria two are commissioned moving forward as they support the Council to meet its strategic aims in a fair and cost-effective manner.

The diagram below outlines how the criteria will determine the pathway that an individual grant will be placed on.



In line with the criteria outlined above, funding which meets either criteria one or criteria two would be placed onto Pathway 1. Grants that have not met either criteria 1 or criteria 2 would be placed on Pathway 2.

To enable existing recurring grant recipients to understand the potential implications of proposals on current funding arrangements, a brief

assessment of all grants has been undertaken, to indicate which pathway a grant might be placed, based on currently available information.

It is to be noted that these assessments are indicative and may be subject to change following consultation. Changes could occur should the assessment criteria be adjusted or additional information provided which subsequently changes the outcome of the assessment.

The outcome of the indicative assessment process is outlined in full in Appendix A. Based on the indicative assessment process, 44 recurring grants out of the 62 grants awarded by the Council and in scope have been indicatively placed on Pathway One, with the remaining 18 grants indicatively placed on Pathway Two.

It is also anticipated that a total of 38 recurring grants out of the 44 grants initially assessed as falling within Pathway One, would be redesigned thematically. A list of the proposed thematic redesigns and the organisations which would be affected can be found at Appendix 3.

Proposals outlined in this report will be subject to a 12-week period of consultation, details of which are set out at section 5.0 of this report.

An Equalities Impact Assessment (EIA) which outlines the anticipated impact of proposals is also attached at Appendix 4 for consideration. The EIA will be reviewed and amended following consultation on proposals which are set out in further detail in the report.

#### 4.5.3 Proposed Stage Two: Implementation

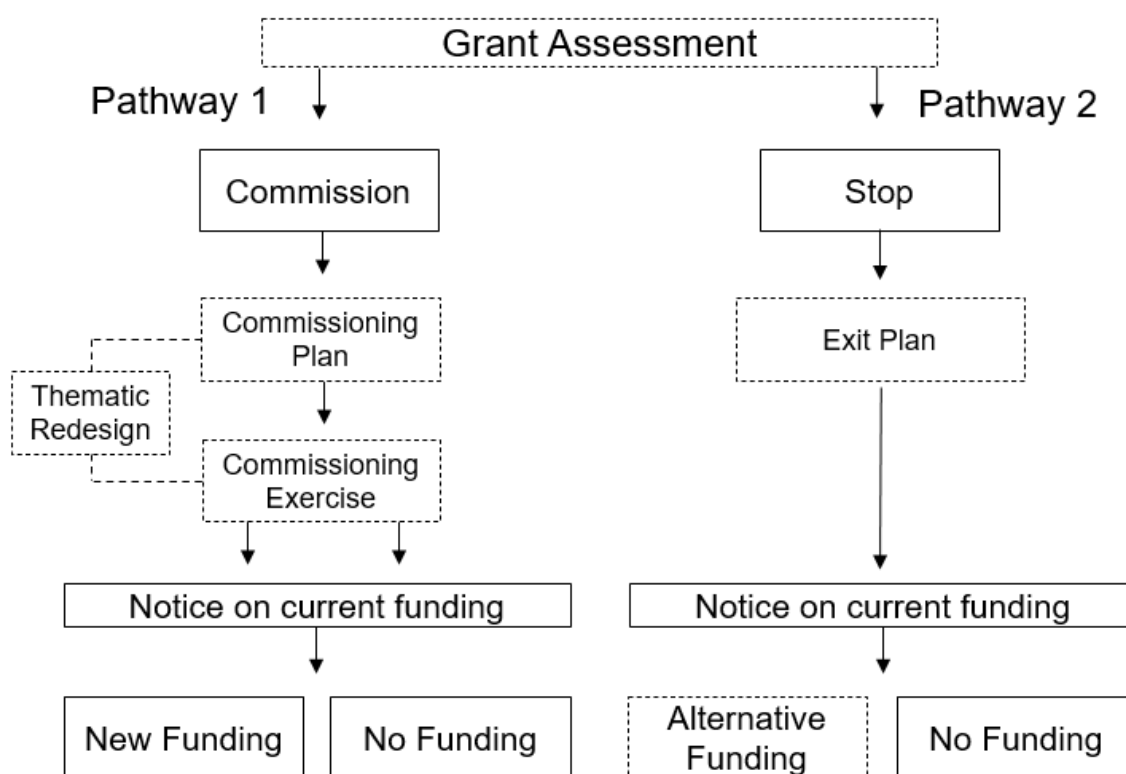
Implementation of the approach would take place following 12-week consultation on proposals and the final process for the review agreed.

Assessments would be undertaken using the agreed criteria, and organisations would be notified of the outcome and inclusion of the reviewed grant on either Pathway 1 or Pathway 2. Organisations would also be notified at this point should their grant be recommended for thematic redesign.

Commissioning plans, thematic redesign plans and exit plans would be issued to organisations depending on the outcome of the assessment and would form a vital part of implementation. Proposed individual plans would be developed to help prepare organisations for the potential loss of grant funding or a commissioning exercise.

Following implementation of the approach, it is proposed that there would be a period of representation where grant recipients would be able to outline their position regarding the assessment of individual grants and the proposed individual plan before a final decision is made. The Council would consider these representations, make a final decision and notify the organisation of the final outcome by letter.

The implementation process for grant recipients placed on Pathway 1 or Pathway 2 is described in the diagram below.



- Pathway 1  
Subject to the final decision following representations, the proposed thematic redesign or commissioning plan would identify a named officer who would be tasked with implementing the plan within an explicit timeframe and agreed end date.

An options appraisal would be completed for each grant or thematic redesign area, to ascertain the most appropriate option to achieve desired outcomes. Appraisals and agreed outcomes would be communicated with each organisation according to their agreed plans. This may also identify where good quality support may be available to support organisations towards any necessary transition.

An end date for the commissioning or redesign to be completed is proposed and would form part of each plans. Grant funding for each organisation would continue until the end date is reached. Grant funding would not be extended beyond this fixed end-date.

- Pathway 2  
Subject to the final decision following representations, exit plans would cover the length of time grant funding is secured for, a minimum of three months' notice. The exit plan may also identify what support an organisation would be eligible to receive, to apply for new sources of funding (such as one-off grant funding referred to in section 4.8 below) or manage any consequences resulting from a loss of funding.

#### 4.6 Review process timetable

The following is the anticipated timescales for the stages of the proposed review process:

<b>Action</b>	<b>Proposed Timescales</b>
Consultation with key stakeholders on detailed proposals	20 December 2021 – 20 March 2022
Cabinet Report outlining the Council's new Grants Framework	January 2022
Launch of new Grants Framework	February 2022
Cabinet Report outlining outcomes of consultation and agreed review proposals	May 2022
Assessments on agreed approach/criteria	May 2022 – June 2022
Commissioning and exit plans submitted	June 2022
Representation from organisations on plans	July 2022
Final decision letters sent to organisations and relevant organisations put on notice	August 2022

It is recommended that a report setting out the outcomes of the consultation and final VCS funding proposals be brought to Cabinet for consideration in May 2022.

#### 4.7 Extension of Grant Funding

The Council has continued to make grant payments over the review period to ensure that the security and stability of the sector is maintained in the interim. The previous grant extension was agreed by Cabinet in July 2021 for a period of six months from 1 September 2021 - 31 March 2022.

However, in line with the proposed timetable as set out in the report, it is necessary to extend grant funding further to secure funding during the consultation period and to allow adequate time following any decision, before significant changes to current funding arrangements would be made. This is in line with the Derbyshire Compact and best value statutory guidance.

The funding extension arrangements outlined in this report are for budget allocation and planning purposes only. Payments to organisations will be made throughout the consultation and assessment and representation phases outlined in the timetable above, until a decision is finalised on the future status of the funding. Any decision that the Council might make would then be subject to the requisite notice period of three months.

Pending consultation and final decisions, funding may be withdrawn from organisations before March 2023. It is important that organisations plan for this in their own budget setting processes for the 2022-23 financial year.

The proposed extension applies to the following grant allocations:

- Adult Care Proposed Grant Arrangements - Adult Care currently have 34 grant arrangements with VCS providers. These awards, which total £506,309 for the identified twelve-month period are set out in Appendix 5 Table 1.0 alongside a brief description of the activities they support.

Adult Care has a further nine grant arrangements with VCS providers that are part of Public Health preventative services. These awards, which total £6,925 are set out in Appendix 5 Table 2.0 alongside a brief description of the activities they support.

- Policy and Research Proposed Grant Arrangements - Policy and Research have a further nine grant arrangements with providers across the county who offer specialist legal and employment advice or offer support to BME communities, including providing a forum for BME residents to consult with Council representatives in relation to issues affecting their members. These awards total £127,065 for a twelve-month period and a brief description of each grant is outlined in Appendix 5 Table 3.0.
- Arts Service Grant Arrangements - The Arts Service has ten grant arrangements with nine community arts organisations, subject to

yearly application and award. The funded organisations have been in receipt of annual grants for a number of years. These organisations receive funding for a range of Arts based activities, including contributions towards core running costs, purchase of specified assets, and the running of specific events. These awards total £137,721 for a twelve-month period and a brief description of each grant is outlined in Appendix 5 Table 4.0.

It is recommended that Cabinet approve the funding extension arrangements to support organisations during the review process. as set out in the report and make budgetary provision of £778,111 for planning purposes.

#### 4.8 New Grant Funding Framework

The Council is currently developing, alongside the recurring grant review, its approach to the future grant funding which will result in a new one council outcomes-based approach comprising a new grants prospectus and funding framework. A report, outlining the new approach, will be brought to Cabinet for consideration in January 2022 in advance of the proposed launch which is scheduled to take place early in the New Year.

This new approach, which will be in place in time for the earliest end-date of grant funding, will provide alternative one-off grant funding to the voluntary and community sector organisations to achieve identified outcomes and priorities. This funding may provide support to those voluntary and community sector affected by the review process

### 5. Consultation

- 5.1 In line with the current Derbyshire Compact, it is recommended that there is a 12-week period of consultation on proposals as outlined in this report, with one week extended to account for Christmas. The consultation process will start on 20 December 2021 and will close on 20 March 2022.

The purpose of the consultation is to:

- Gain an understanding of support for the VCS Review approach proposals from relevant stakeholders.
- Enable stakeholders to understand the potential impact of the principle of no longer support the award of grant funding to any organisation in the future without a fixed or scheduled end date and where appropriate offer a viable alternative



- Enable stakeholders to comment on the assessment criteria and where appropriate, offer a viable alternative
- Enable stakeholders to outline how proposals will impact on residents.

The table below outlines who the Council will be consulting with and why:

<b>Who</b>	<b>Why</b>
Current recurring grant recipients	<ul style="list-style-type: none"> <li>• The grant funding these recipients currently receive is under review</li> <li>• The need to understand the impact of the proposal</li> </ul>
The wider VCS	<ul style="list-style-type: none"> <li>• Do not currently receive recurring funding from the Council but changes may provide additional opportunities for the wider sector</li> </ul>
CCGs – Derby and Derbyshire and Tameside and Glossop	<ul style="list-style-type: none"> <li>• Partner agencies may: <ul style="list-style-type: none"> <li>○ Fund the organisations/groups included in the review</li> <li>○ Signpost to the organisations under review</li> <li>○ Rely on the organisations to support their priorities</li> </ul> </li> <li>• To understand what positive and negative impact the recommended review outcomes could have on partners</li> </ul>
District and Borough Councils in Derbyshire	
Police and Crime Commissioner	
CVSs based in Derbyshire	<ul style="list-style-type: none"> <li>• CVSs act as the ‘voice of the sector’ and support the wider sector with consultation</li> <li>• CVSs may signpost to the organisations whose funding is under review and/or supply/support volunteers to organisations whose funding is under review</li> </ul>
General Public	<ul style="list-style-type: none"> <li>• To understand what positive and negative impact the recommended review outcomes could have on residents</li> </ul>

During the proposed consultation period, consultations with current grant recipients, key stakeholders and partners will take place through a range of methods including, as a minimum, one-to-one meetings with current recurring grant recipients and a publicly available online questionnaire.

## **6. Alternative Options Considered**

- 6.1 Alternative Option 1 Do Nothing – The Council could let current grant arrangements expire and not extend any existing funding arrangements moving forward. This option is not desirable as it would not follow a best practice approach to reviewing grant funding to the sector and could be subject to legal challenge. A best practice approach is one that ensures that the stability of the sector is maintained throughout any review process, those affected have a say regarding the impact of any changes before a decision is made through consultation, and any affected organisations have adequate time following a decision before any significant changes to arrangements are made.
- 6.2 Alternative Option 2 Retain the status quo – The Council could cease its review of voluntary and community sector grant funding. However, following an initial appraisal of the Council's grants to VCS organisations in 2018, Cabinet recognised that a significant number of existing grants were recurring, long standing and had not been reviewed for some time. Cabinet at this point agreed that a review of existing arrangements was therefore vital to ensure that future funding of the sector reflected a move to an outcome-based approach and to ensure that future investment supported the Council's strategic priorities moving forward. The option of ceasing the review therefore would not enable the Council to meet these aims. There are significant limitations to the current approach for both the Council and those organisations that receive or wish to receive Council funding in the future and ceasing the review would not allow for necessary changes to be made.

## **7. Implications**

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

## **8. Background Papers**

- 8.1 Report to Cabinet - Payments to Voluntary and Community Sector Organisations 2019-20 28 February 2019
- 8.2 Report to Cabinet - Voluntary and Community Sector Grants 21 November 2019
- 8.3 Report to Cabinet - Voluntary and Community Sector Review 16 January 2020
- 8.4 Report to Cabinet - Voluntary and Community Sector Review 30 July 2020

8.5 Report to Cabinet – Voluntary and Community Sector Review 29 July 2021

## **9. Appendices**

9.1 Appendix 1 - Implications

9.2 Appendix 2 - Indicative Pathway Allocations

9.3 Appendix 3 - Proposed Thematic Redesign

9.4 Appendix 4 - Equalities Impact Assessment on proposals

9.6 Appendix 5 - Grant Funding Extension Tables

## **10. Recommendations**

That Cabinet:

- a) Approve consultation on a proposal that the Council adopt the principle of no longer supporting the award of grant funding to any organisation in the future without a fixed or scheduled end date (save for in exceptional circumstances).
- b) Approve consultation on the proposals for a process for reviewing existing recurring grants.
- c) The consultations referred to in paragraphs 4.4 and 4.5 above to be conducted by way of a single consultation exercise, as outlined in this report, the consultation period to be a period of 12 weeks commencing on 20 December 2021.
- d) Receive a further report on the outcome of the consultation and final VCS funding proposals at its meeting in May 2022.
- e) Approve proposals to extend grant funding to VCS organisations as set out in the report and to make budgetary provision of £778,111 to support organisations during the review process.

## **11. Reasons for Recommendations**

- 11.1 To allow the Council to consult on proposals for the future funding of voluntary and community sector organisations as set out in the report and to progress the review towards completion.
- 11.2 To allow the Council to make a decision on the outcome of the consultation exercise following the proposed 12-week period of consultation set out in the report.
- 11.3 To ensure that, alongside the ongoing VCS grants review, the security and stability of the sector is maintained in the interim through a further extension of funding for general grants.

**12. Is it necessary to waive the call in period?**

12.1 No

**Report Author: Robert Lowe**  
**robert.lowe@derbyshire.gov.uk**

**This report has been approved by the following officers:**

<p><b>On behalf of:</b></p> <p>Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)</p>	
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**Implications****Financial**

- 1.1 The tables below summarise the total amount of annual funding and number of grants being considered in this review proposal.

**VCS Grants under review****1.2 Adult Care VCS Grants**

	<b>Number</b>	<b>£'s</b>
General VCS Funding	34	506,309
<b>Total</b>	<b>34</b>	<b>506,309</b>

**1.3 Public Health Grants**

	<b>Number</b>	<b>£'s</b>
50+ Forums	9	6,925
<b>Total</b>	<b>9</b>	<b>6,925</b>

**1.4 Corporate VCS Grants**

	<b>Number</b>	<b>£'s</b>
General VCS Funding	9	127,065
<b>Total</b>	<b>9</b>	<b>127,065</b>

Corporate VCS Grants have been subject to funding reductions of approximately 30% as part of budget saving measures, a decision taken in 2016. Whilst awaiting review, the reductions have not been passed on to the organisations in receipt of grants, with a £30,423 shortfall being funded each year from general reserves.

**1.5 Arts Service Grants**

	<b>Number</b>	<b>£'s</b>
Community arts groups	9	124,230
Live and Local	1	13,582
<b>Total</b>	<b>10</b>	<b>137,812</b>

The Five-Year Financial Plan, agreed by Cabinet on 11 September 2019, identified a budget saving of £208,000 from April 2020, by examining alternative ways to deliver the arts service and also reviewing the current arrangements for awarding grants to organisations. There has been no revenue budget to cover the cost of arts grants from April 2020 and any budget shortfall will therefore need to be met from general reserves.

- 1.6 Below is a table outlining the number of grants, indicatively placed on the different pathways from the review and the total amount of funding which is current attached to those awards

<b>Review Outcome</b>	<b>Number</b>	<b>£'s</b>
Pathway 1 - Thematic Redesign	38	387,607
Pathway 1 - Commission	6	93,513
Pathway 2 - Not further extended	18	296,991
<b>Total</b>	<b>62</b>	

If funding is redesigned or withdrawn, then there could be a specific financial impact on the individual organisations which will be fully ascertained through the proposed representations process. The Council would work closely with organisations to ensure that plans and timeframes are clear, and transitions can be managed as effectively as possible.

The proposed review could mean that a total of £296,991 current funding would be withdrawn from organisations per annum. If so, £137,812 of this total would contribute to meeting savings made in relation to the in the Arts Service, taken from the budget in April 2020. £156,810 would be made available for re-investment in the sector through the new funding framework.

- 1.7 The tables below summarise the financial implications for a potential 12-month extension period April 2022 to March 2023 against each current grant allocation.

1.8 **Adult Care VCS Grants**

	<b>Number</b>	<b>£'s</b>
General VCS Funding	34	506,309
<b>Total</b>		<b>506,309</b>

All the proposed Adult Care allocations are based on funding agreed in respect of the 2022-23 year and could be met from existing Adult Care budgets.

1.9 **Public Health Grants**

	<b>Number</b>	<b>£'s</b>
50+ Forums	9	6,925
<b>Total</b>	<b>9</b>	<b>6,925</b>

All the proposed Public Health allocations are based on funding agreed in respect of the 2022-23 year and could be met from existing Public Health budgets.

#### 1.10 Corporate VCS Grants

	<b>Number</b>	<b>£'s</b>
General VCS Funding	8	127,065
<b>Total</b>	<b>8</b>	<b>127,065</b>

The proposal will potentially cost £127,065 with a shortfall of £30,423 for the 2022-23 budget which could be met from general reserves.

#### 1.11 Arts Service Grants

	<b>Number</b>	<b>£'s</b>
Community arts groups	9	124,230
Live and Local	1	13,582
<b>Total</b>	<b>10</b>	<b>137,812</b>

The Five-Year Financial Plan, agreed by Cabinet on 11 September 2019, identified a budget saving of £208,000 from April 2020, by examining alternative ways to deliver the arts service and also reviewing the current arrangements for awarding grants to organisations. There has been no revenue budget to cover the cost of arts grants from April 2020 and any budget shortfall will therefore need to be met from general reserves.

### Legal

- 2.1 The Council has power to provide grants to voluntary and community sector organisations under the general power of competence set out in section 1 of the Localism Act 2011.

### Human Resources

- 3.1 The voluntary and community sector organisations currently funded by the Council as part of this report are independent of the County Council and therefore there are no human resources considerations.

If funding is redesigned or withdrawn, then there could be a specific HR impact on the individual organisations which will be fully ascertained through the proposed representations process. There may also be structural and resourcing implications for internal services which will be fully scoped during the review.

## **Other**

- 4.1 With regard to any organisation providing services to children or vulnerable adults, approval of financial support is conditional on the existence within these organisations of appropriate procedures assessing suitability to work with children or vulnerable adults.

## **Equalities**

- 5.1 A draft EIA has been completed prior to any formal feedback, to inform the development of the review and the criteria required for undertaking the review.

The analysis indicates that the majority of grants under review are within the Adult Social Care department, so it is expected that many of those grants are related to activities which involve older people or those with a disability.

Funding relates to this protected characteristic in three different ways:

- Funding provides activities specifically to fulfil duties regarding this protected characteristic
- Funding provides activities which target people older people or those with a disability
- Funding provides activities which appeal to a particular age group or those with a disability.

A small number of grants are also allocated to support work within Black and Ethnic Minority communities or by groups from these communities. This funding has a positive impact on race equality within Derbyshire. Whilst this approach may mean some changes to the way these organisations are funded, the proposals are not expected to have a negative impact on these groups and their beneficiaries.

Where grants are commissioned, the Council believes that this would have a positive effect through providing a more stable funding platform by which organisations can grow and enhance their offer.

Where funding is proposed to be thematically redesigned, it is anticipated that this would have an overall beneficial impact on Derbyshire residents as it would put in place a longer term funding approach and emphasis on better outcomes with the funding provided.



If funding is redesigned or withdrawn, then there could be a specific impact on the individual beneficiaries if a loss of funding impacts on whether activities are continued to be provided. The full impact of proposals on those cohorts will be ascertained through consultation.

As it is proposed all recurring grant funding would end, it is also proposed that redesign and exit plans form a vital part of how this is practically achieved. Individual plans would be designed in discussion with the relevant organisation to manage the potential loss of grant funding or for the preparation for commissioning arrangements.

With any change, it is anticipated that regardless of the outcome, there will be a level of anxiety experienced by the review and recommissioning of funding, especially as some of these groups have been long standing and provide activities to vulnerable groups. The Council will work closely with organisations ensure that plans and timeframes are clear and any transitions can be managed as effectively as possible.

This report indicates the intention to consult on the proposals outlined in the report and recommends that the results and implications of the consultation exercise and implications be brought to a future meeting of Cabinet alongside an EIA on any proposed changes.

## **Corporate objectives and priorities for change**

- 6.1 The review of funding to the voluntary and community sector is a key priority outlined in the authority's Council Plan. The review is essential and will ensure that that resources allocated to the VCS support the Council's ambitions in supporting a fairer and more equitable allocation of funding, enabling efficient and effective investment and encouraging the long-term sustainability of the sector moving forward.

## Indicative Pathway Allocation

Pathway 1		£'s
Age Concern	Befriending Grant	10,741
Age UK Derby and Derbyshire	Mobile Information Service	19,547
Age UK Derby and Derbyshire	Erewash Careline Service	4,500
Age UK Derby and Derbyshire	Befriending Service	48,099
Amber Valley CVS	Befriending Service	6,457
Asian Association of Chesterfield and North Derbyshire	BME Grant	3,744
Barlborough Darby and Joan Club	Luncheon Club	1,568
BME Infrastructure	BME Consultation Support Grant	13,225
Borrowbrook Home Link	Older adult support	2,800
Chesterfield African Caribbean Community Association	Activities and Luncheon Club	20,282
Chesterfield African Caribbean Community Association	BME Grant	3,744
Chesterfield Muslim Association	BME Grant	1,821
Connex Community Support	Befriending Service	3,000
Derbyshire 50+Forum Ashbourne	50+Forum	639
Derbyshire 50+Forum Belper	50+Forum	672
Derbyshire 50+Forum Bolsover	50+Forum	1,330
Derbyshire 50+Forum Clay Cross	50+Forum	616
Derbyshire 50+Forum Glossop	50+Forum	1,128
Derbyshire 50+Forum Heanor	50+Forum	508
Derbyshire 50+Forum Ilkeston	50+Forum	1,060
Derbyshire 50+Forum Long Eaton	50+Forum	620
Derbyshire 50+Forum Matlock	50+Forum	352
Derbyshire Autism Services Group	Vulnerable adults service	26,804
Derbyshire Chinese Welfare Association	BME Grant	2,186
Derbyshire Dales Careline	Befriending service	2,010
Derbyshire Law Centre	Legal Service	60,524
Derbyshire Law Centre	Specialist Advice Grant	29,600
Dronfield Welcome Club	Luncheon and Social Club	629
Eckington and District Monday Club	Social club	3,216
Erewash Voluntary Action CVS	Befriending Grant	3,000
Eventide Lunch Club	Lunch Club	10,000
Glebe Field Centre (Crich)	Day service	12,000
Greenaway Workshop	Therapeutic care	10,161
Ilkeston and District CVS (Flamstead)	Day Service	22,647
Lunch clubs grant fund	Various small clubs	18,000
Mencap – Swadlincote Gateway Club	Social Club	2,675
Muslim Welfare Association	BME Grant	1,821
New Mills Volunteer Centre	Befriending Service	250

Our Vision Our Future	Social Club	30,375
South Derbyshire CAB	Specialist Advice Grant	10,400
South Derbyshire CVS	Befriending Service	33,848
Stepping Stones (Amber Valley)	Befriending Service	15,549
The Bureau (Glossop)	Time Out Service	21,936
Volunteer Centre (Chesterfield + NED)	Befriending Project	17,036
<b>Total of Pathway 1 - 44 grants plus 109 small luncheon club grants</b>		<b>481,120</b>

<b>Pathway 2</b>		<b>£'s</b>
Age UK Derby and Derbyshire	First Taste	5,200
Buxton Festival	Arts Grant	5,723
Connex Community Support	Volunteer Car Scheme	16,306
Erewash Voluntary Action CVS	Shopping Service	31,711
Fleet Arts	Arts Grant	18,006
Glossopdale Furniture Project	Recycling and repurposing project	32,133
Heanor Salcare	Recycling and repurposing project	54,054
High Peak Community Arts	Arts Grant	18,006
Junction Arts	Arts Grant	15,200
Learning Through Arts	Arts Grant	16,028
Level Centre Ltd	Arts Grant	24,925
Live and Local	Arts Grant	13,582
Orchestras Live	Arts Grant	6,377
Ripley Neighbourhood Care Scheme	Luncheon club (closed)	2,369
South Derbyshire CVS	Social Car Scheme	7,406
Stanley Common Eventide Guild Hall	Community development	10,000
People Express	Arts Grant	16,323
Wash Arts	Arts Grant	3,642
<b>Total of Pathway 2 - 18 grants</b>		<b>296,991</b>

## Proposed Thematic Redesigns

Through the indicative assessments based on the proposed criteria it is anticipated that a total of 38 Council grants, with a value of £387,607 would fall under redesign proposals within one of the following five broad thematic areas.

1. Social isolation and loneliness
2. Advice
3. 50+ Forums
4. Meaningful Daytime Activity
5. BME Support

### 1. Social isolation and loneliness

Many adult care grants are target towards different activities which ultimately reduces social isolation and loneliness. These are currently a mixture of befriending schemes and luncheon clubs.

It is proposed that the following twelve grants form part of the thematic redesign for the provision of activities which reduce social isolation and loneliness:

Organisation	£'s
Age Concern (Chesterfield and District)	10,741
Age UK Derby & Derbyshire (Bakewell and Buxton)	48,099
Age UK Derby & Derbyshire (Erewash)	4,500
Amber Valley CVS	6,457
Barlborough Darby and Joan Luncheon Club	1,568
Borrowbrook Home Link	2,800
Connex Community Support (formerly VCSPD)	3,000
Derbyshire Dales Careline	2,010
Dronfield Welcome Club	629
Erewash Voluntary Action CVS	3,000
New Mills Volunteer Centre	250
South Derbyshire CVS	33,848
Stanley Common Eventide Luncheon Club	10,000
The Bureau Time Out Service	21,936
Various – Small Lunch Club Grants	18,000
Volunteer Centre (Chesterfield + NED)	17,036
<b>Total</b>	<b>183,874</b>

Thematic Redesign would be undertaken by Claire Burnage, Adult Care

## 2. Advice Services

As well as directly providing advice for residents on a variety of issues, and funding the delivery of some CAB services, the Council also grant funds six VCS organisations each year to deliver specialist information and advice services throughout the county.

It is proposed that the following four grants are redesigned thematically:

<b>Organisation</b>	<b>£'s</b>
Age UK Derby & Derbyshire	19,547
Derbyshire Law Centre	60,524
Specialist Advice Derbyshire Law Centre (Derbyshire Dales, Amber Valley and Erewash)	29,600
Specialist Advice Citizens Advice Mid Mercia (South Derbyshire)	10,400
<b>Total</b>	<b>120,071</b>

Thematic Redesign would be undertaken by Vicky Smyth, Public Health

## 3. 50+ Forums

The Council provides grant funding for the provision of 50+ forums throughout Derbyshire.

It is proposed that the following nine grants are redesigned thematically:

<b>Organisation</b>	<b>£'s</b>
Derbyshire 50+ Forums: Ashbourne	639
Derbyshire 50+ Forums: Belper	672
Derbyshire 50+ Forums: Bolsover	1,330
Derbyshire 50+ Forums: Clay Cross	616
Derbyshire 50+ Forums: Glossop	1,128
Derbyshire 50+ Forums: Heanor	508
Derbyshire 50+ Forums: Ilkeston	1,060
Derbyshire 50+ Forums: Long Eaton	620
Derbyshire 50+ Forums: Matlock	352
<b>Total</b>	<b>6,925</b>

Thematic Redesign would be undertaken by Marie Billyeald, Public Health

## 4. Meaningful Daytime Activity

Derbyshire County Council's day activity services provide support for people who live in their own homes but benefit from meeting others, socialising and taking part in regular activities.

It is proposed that the following four grants are redesigned thematically as detailed in the table below:

<b>Organisation</b>	<b>£'s</b>
Glebe Field Centre (Crich)	12,000
Flamsteed Centre. Ilkeston and District	22,647
Stepping Stones (Amber Valley)	15,549
<b>Total</b>	<b>50,196</b>

Thematic Redesign would be undertaken by Claire Burnage, Adult Care

## **5. BME Support**

The Council currently provide a VCS infrastructure grant to Links CVS to run the Derbyshire BME Forum. As well as contributing to the BME Forum, each group provides activities to support their communities of interest.

It is proposed that the following six grants are reviewed thematically as detailed in the table below:

<b>Organisation</b>	<b>£'s</b>
Asian Association of Chesterfield and North Derbyshire	3,744
Chesterfield African Caribbean Community Association	3,744
Chesterfield Muslim Association	1,821
Derbyshire Chinese Welfare Association	2,186
LINKS CVS BME Consultation	13,225
Muslim Welfare Association	1,821
<b>Total</b>	<b>26,541</b>

Thematic Redesign would be undertaken by Robert Lowe, CCP

## Equality Impact Assessment



## Derbyshire County Council Equality Impact Analysis Record Form

Department	Adult Care and Health, Commissioning, Communities and Policy,
Service Area	
Title of policy/ practice/ service of function	Voluntary and Community Sector Grants Funding Review – annual recurring grants
Chair of Analysis Team	tbc

### Stage 1. Prioritising what is being analysed

- a. Why has the policy, practice, service or function been chosen? (rationale)
- b. What if any proposals have been made to alter the policy, service or function?

- a) The Council provides funding to a number of voluntary and community sector organisations/groups through grant funding. The spectrum of grant funding across the Council is complex and ever changing. However, the Council has recognised that grants fall into two main categories, recurring and one-off grant funding. The Council currently awards a significant number of recurring grants and these have not been subject to review on a consistent and regular basis. These grants are usually extended every year, but more recently have been extended for shorter periods whilst the review takes place. Thousands of VCS organisations exist in Derbyshire, but only a small number receive such payment.
- b) On 28 February 2019, Cabinet approved proposals to undertake a council wide review of VCS grants. It was agreed that the review would:
  - Consider all grants provided by the Council to VCS organisations in Derbyshire and subsequently develop a coordinated and consistent council wide approach to

allocate future resources.

- Consider the support provided by the Council to VCS infrastructure organisations across Derbyshire, in collaboration with partners, and develop a new model for allocating funding moving forward which supports the Council's ambitions and priorities.

This will support the VCS as a key Council partner and help to support a thriving VCS in Derbyshire.

c. What is the purpose of the policy, practice, service or function?

The Community and Voluntary Sector (VCS) is huge, incredibly diverse and covers everything from neighbourhood watch groups to social enterprises to national and international charities and everything in between. Community groups tend to be run by volunteers and voluntary groups usually have a mix of paid staff and volunteers.

Broadly speaking there are two types of organisations within the VCS and they are:

- registered charities
- non charitable voluntary bodies.

The VCS provide a range of support, services and activities based within the community.

Whilst some voluntary organisations and charities operate effectively without any assistance, the sector includes a number voluntary and community groups that in the past have required varying levels of support in order to establish themselves, grow and thrive.

A grant is generally a type of funding that is given by a donor, with the timing, amount and frequency at the donor's discretion. They are usually a form of 'restricted' funding meaning that the funding must be spent on a specific programme of activity or against an agreed set of outcomes.

Grants are not only offered by the local authority but through other public bodies, charitable trusts and foundations. Each grant will have its own administration process ranging from very light touch requiring minimal bureaucracy to those that have specific outcomes and reporting timetable.

A new whole Council approach will support the ongoing grant investment in the sector and be utilised to evidence how grant investment supports the Council in the achievement of its strategic priorities as set out in the Council Plan. This will support the VCS as a key Council partner and help to support a thriving VCS in Derbyshire.

d. Will the proposals lead to changes in staffing resources/ the organisation of staffing? If Yes, please outline.

At this time the implications for staffing resources of the VCS organisations is not clear. VCS organisations currently funded by the Council as part of this report are independent



of the County Council and it is not expected that this review lead to any direct staffing changes.

As the staffing implications for VCS organisations are unknown, there is a need to ensure that questions relating to this issues are raised through the consultation.

## Stage 2. The team carrying out the analysis

<b>Name</b>	<b>Area of expertise/ role</b>
(Chair) Robert Lowe	Policy Manager
Claire Burnage	Project Manager
Jodie Harrison	Policy Officer
Anne Wright	Head of Arts
Gill Quayle	Service Development Officer
Gill Clarke	Project Manager, 50+ Forum
John Cowings	Senior Policy Officer

## Stage 3. The scope of the analysis – what it covers

A significant amount of work was completed to prepare for the grants review, establishing baseline data on current awards, developing a shared understanding of the funding VCS landscape across the Council, and agreeing a way forward.

A cross Council VCS Review Programme Board was formed in 2018 to support the development of the approach and more recently a corporate Council Grants Board established to shape and support the work of the review, including an officer sub-group which reports to the Board. Holding regular meetings and following a consistent approach and methodology to develop a shared and consistent approach across the Council, which in turn supports a fair and clear process.

Shared assessment criteria, templates, communications materials, and consultation plans were developed to support review officers to achieve a systematic approach to all grant reviews that are taking place.

Analysis was completed through a range of methods and techniques:

- Council grant funding database of current awards
- Current and previous monitoring reports
- Adult Care annual surveys
- Beyond Covid 19 VCS survey and event
- Ongoing discussions with VCS infrastructure providers
- Organisations annual accounts
- Discussions with other key stakeholders
- Engagement meetings with current grant recipients

The below EIA was completed through analysis of this information. Any further information gathered through engagement and formal consultation with be used to develop the EIA further at a later date.

## Stage 4. Data and consultation feedback

### a. Sources of data and consultation used

<b>Source</b>	<b>Reason for using</b>
Current Funding Data	Database shows all current funding allocated and how the grant is used
Grant monitoring information	Provides an overview of the current service offer and clients supported. This also gives an overview of the current impact of COVID19 on the organisations
Websites	Some organisations have websites that give an overview of the service and the criteria of clients using the service.
Companies House and/or Charities Commission	Provides an overview of the service and access to online accounts
Council Plan	To align to the Council priorities
Adult Care Service Plan	To align to Adult Care outcomes
Informal engagement	Organisations have been contacted during the COVID19 pandemic to understand the impact on the service, to give support and advise where necessary.

## Stage 5. Analysing the impact or effects

### a. What does the data tell you?

<b>Protected Group</b>	<b>Findings</b>
Age	<p>Many of the organisations relate to this protected characteristic in three different ways:</p> <ul style="list-style-type: none"> <li>• The organisation is designed specifically to fulfil duties regarding this protected characteristic (e.g. 50+ forum)</li> <li>• The organisation was created to target the older age group (e.g. luncheon clubs)</li> <li>• The organisations activities appeal to a particular age group (e.g. Day Services)</li> </ul> <p>Monitoring and informal engagement indicates that many of the organisations receiving a grant include those that work to support older people i.e. over 50's, and some do exclusively.</p> <p>Some of the groups do not have an age restriction and cover from 18 years and over.</p>

	<p>Current monitoring does not include the age range of the clients and therefore there aren't specific number of residents who would be affected by proposals.</p> <p>It is therefore not clear at this time the implications for VCS organisations and their clients, and there is a need to ensure that this impact can be measure through the consultation.</p> <p>A significant number of older people benefit from the organisations currently receiving a grant, especially those who are more vulnerable. It is expected that the groups for the vulnerable people will not be impacted negatively from any changes made.</p> <p>A limited number of younger people benefit from the organisations currently receiving a grant, in particular those that are more vulnerable e.g. learning disability. Whilst this approach may mean some changes to the way these organisations are funded, it is anticipated that those younger people with a disability will not be impacted negatively from any changes made.</p> <p>Proposals outline that commissioning arrangements or grant redesign in the future could increase the impact of funding and what organisation deliver moving forward, therefore older people could benefit from the changes outlined in the new approach.</p>
Disability	<p>Monitoring and informal engagement indicates that a limited number of organisations receiving a grant include those that work to support those living with a learning disability. There are two groups specifically targeted at people with learning disabilities. Whilst this approach may mean some changes to the way these organisations are funded, the proposals are not expected to have a negative impact from any changes made.</p> <p>There is one group that specifically targets people with a physical disability for example if they have suffered a stroke and affected their motor skills. Whilst this approach may mean some changes to the way these organisations are funded, the proposals are not expected to have a negative impact on these groups and their beneficiaries.</p> <p>Other groups may have some members with a learning disability, physical disability, sensory impairment or dementia but current monitoring does not indicate how many of their members with a disability attend. These organisations are open to all older people and will adapt to ensure inclusivity,</p>

	<p>accommodating an individual's needs. Some of these groups may be negatively impacted by the proposals and will need supporting through any transition.</p>
Gender (Sex)	<p>Current monitoring does not include the gender of the clients and therefore we don't have specific number of residents who would be affected by proposals.</p> <p>Monitoring and informal engagement indicates that all of the organisations receiving a grant are inclusive to all gender.</p> <p>There is no organisation that target their service or support a particular gender. The proposals are not expected to have any negative impact on any gender specific group.</p> <p>The redesign of any funding award will mean changes to monitoring practices and can consider how those receiving funding can better understand who uses and does not use their services/ support.</p>
Gender reassignment	<p>At present most organisations receiving funding do not specifically monitor their service users on grounds of gender re-assignment which in turn means that data on the impact on the Trans community is not well understood.</p> <p>The redesign of any funding award will mean changes to monitoring practices and can consider how those receiving funding can better understand who uses and does not use their services/ support.</p>
Marriage and civil partnership	<p>At present most organisations receiving funding do not specifically monitor their service users on grounds of marital status.</p> <p>The redesign of any funding award will mean changes to monitoring practices and can consider how those receiving funding can better understand who uses and does not use their services/ support.</p> <p>It is expected that there is minimal impact for this protected characteristic.</p>
Pregnancy and maternity	<p>Current monitoring and informal engagement does not include pregnancy and maternity data but we do know that there are no groups that specifically target this protected characteristic</p> <p>The redesign of any funding award will mean changes to monitoring practices and can consider how those receiving</p>

	<p>funding can better understand who uses and does not use their services/ support.</p> <p>The proposals are expected to have minimal impact of this group of people.</p>
Race	<p>Currently monitoring does not identify whether groups are Black or ethnic minority organised groups or not.</p> <p>A small number of grants are allocated to support work within Black and Ethnic Minority communities or by groups from these communities. It is therefore believed that some funding has a positive impact on race equality within Derbyshire.</p> <p>Whilst this approach may mean some changes to the way these organisations are funded, the proposals are not expected to have a negative impact on these groups and their beneficiaries.</p>
Religion and belief including non-belief	<p>This is not known to be a significant issue. Current monitoring does not include religion data.</p> <p>Some communities in Derbyshire identify both by their religion and their ethnicity. To support the largest BME population within the county, two Muslim groups receive grants. Although membership of these groups is open only to Muslim residents, the funding provided by the Council is for non-religious community activity.</p> <p>Whilst this approach may mean some changes to the way these organisations are funded, the proposals are not expected to have a negative impact on these groups and their beneficiaries.</p>
Sexual orientation	<p>This is not known to be a significant issue. Current monitoring does not include sexual orientation data</p> <p>The Council does not specifically fund any organisations working solely with the LGBQ+ community although district and borough based umbrella groups are required to provide support across all communities, including any organisations working with or for LGBQ+ people. Derbyshire LGBTQ+ works across Derbyshire as well as Derby City.</p>

#### Other

Socio-economic	Monitoring and informal engagement does not give an indication of the impact on socio economic groups.
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	<p>The VCS often disproportionality provide support to low income residents, those who are unemployed and in areas of relative deprivation.</p> <p>There is one group that targets people experiencing poverty and financial hardship but the grant is not awarded for this purpose. Therefore, it is expected that the proposals could have a significant impact on this organisation.</p> <p>Further data is required through consultation to understand how many groups are specifically working with those experiencing poverty and exclusion.</p>
Rural	<p>Monitoring and informal engagement does not give an indication of the impact on rurality.</p> <p>There is a link between rurality, isolation and low income, meaning that beneficiaries in these areas could be negatively impacted or would be disproportionately impacted if proposals mean funding to their organisations was reduced.</p> <p>Further data is required through consultation to understand how many groups are specifically working with those in rural areas who are at risk from isolation.</p>
Employees of the Council	<p>At this point the impacts of changes on employees is not clear. It is likely that as members of communities, some of our employees could benefit from or be involved as volunteers with some of the organisations which are presently funded.</p> <p>Further data is required to confirm that no council employees are impacted.</p>

b. What does customer feedback, complaints or discussions with stakeholder groups tell you about the impact of the policy, practice, service or function on the protected characteristic groups?

<b>Protected Group</b>	<b>Findings</b>
Age	To be updated following consultation.
Disability	To be updated following consultation.
Gender (Sex)	To be updated following consultation.
Gender reassignment	To be updated following consultation.
Marriage and civil partnership	To be updated following consultation.
Pregnancy and maternity	To be updated following consultation.

Race	To be updated following consultation.
Religion and belief including non-belief	To be updated following consultation.
Sexual orientation	To be updated following consultation.

Other

Socio-economic	To be updated following consultation.
Rural	To be updated following consultation.
Employees of the Council	To be updated following consultation.

c. Are there any other groups of people who may experience an adverse impact because of the proposals to change a policy or service who are not listed above?

It is not anticipated that any groups, cohorts or communities of people, over and above the ones listed, may experience an adverse impact because of the proposals.

d. Gaps in data

What are your main gaps in information and understanding of the impact of your policy and services? Please indicate whether you have identified ways of filling these gaps.

Gaps in data	Action to deal with this
Fully understanding the impact on protected characteristics	Discussion with individual organisations.  Specific question in the consultation with stakeholders, service users and the wider public.
The needs of the community	Where it is proposed that funding awards will be redesigned moving forward, further work is needed to understand the resident or community need to ensure that any future commissioned services meet those needs.
The impact of COVID19 on the needs of the sector	Work is ongoing to discuss this impact and support and advise organisations when necessary. A survey has been undertaken, led by the VCS with support from officers across the Council.  Consider any impact on specific groups

The impact of COVID19 on the needs of the community	Various surveys have been completed by the VCS, the Council Community Response Unit and nationally. Await the reports.
The impact of COVID19 on the needs of the client base of specific groups that is likely to contact a significant proportion of people covered by the Equality Act 2010 such as BME, disabled, women LGBTQ+ etc	Further work is required, through the redesign of any funding award to support more comprehensive monitoring practices which considers how those receiving funding can better understand who uses and does not use their services/ support.

**Stage 6. Ways of mitigating unlawful prohibited conduct or unwanted adverse impact, or to promote improved equality of opportunity or good relations**

With any change, it is anticipated that regardless of the outcome, there will be a level of anxiety experienced by the review and recommissioning of funding, especially as some of these groups have been long standing and provide activities to vulnerable groups.

If proposals are taken forward the Council will work closely with organisations ensure that plans and timeframes are clear and transitions can be managed as effectively as possible.

As it is proposed all recurring grant funding would end, review and exit plans form a vital part of how this is practically achieved. Individual plans will be designed in discussion with the relevant organisation to manage the potential loss of grant funding or for the preparation for commissioning arrangements.

Following consultation, if it is agreed that a grant is redesigned and commissioned, the organisation in receipt of the grant would be issued a commissioning plan or a redesign plan if part of a thematic redesign. This will identify an officer who will implement the plan with a timeframe by which it will be completed. Grant funding will be secured for that organisation until the plan is completed.

Where there is an expected loss of grant funding, exit plans could identify alternative sources of grant funding in order to continue a project’s activity, developing an alternative business model, or in some exceptional circumstance the winding down a project’s activities as sensitively as possible in order not to adversely impact on any staff or clients.

An exit plan would consist of the length of time grant funding would be secured for, to allow the organisation to implement alternative arrangements. It may also identify what support an organisation would be eligible to receive, to apply for new sources of funding or manage any consequences resulting from a loss of funding.



## Stage 7. Do stakeholders agree with your findings and proposed response?

To be updated following consultation.

## Stage 8. Main conclusions and recommendations

The draft EIA completed prior to any formal feedback has informed the thinking of the project team to consider the criteria required for undertaking the review.

This proposal means that every organisation currently receiving grant funding from the Council would experience a change in the future. The extent of these changes on individual organisations will differ across the organisations.

If grants are commissioned in the future, the Council believes that this would give the organisation in receipt of this funding a more stable funding platform by which to grow and enhance their offer towards the intended outcomes. Contracts would be reviewed regularly to ensure that they continue to meet the Council's strategic aims and that they remain fit for purpose.

If funding is to be thematically redesigned, it is anticipated that this will have an overall beneficial impact on Derbyshire residents as it will put in place a longer term funding approach and emphasis on better outcomes with the funding provided. If funding is withdrawn, then there could be a specific impact on the individual beneficiaries if a loss of funding impacts on whether activities are continued to be provided. The redesign of any funding award will also mean changes to monitoring practices and can consider how those receiving funding can better understand who uses and does not use their services/ support.

With any change, it is anticipated that regardless of the outcome, there will be a level of anxiety experienced by the review and recommissioning of funding, especially as some of these groups have been long standing and provide activities to vulnerable groups. The Council will work closely with organisations ensure that plans and timeframes are clear, and transitions can be managed as effectively as possible.

Inevitably if funding is withdrawn from some organisations there will be a chance that specific, individual, beneficiaries may be negatively impacted from these proposals and the full impact of proposals on those cohorts will be ascertained through consultation.

The analysis above indicates that the majority of grants under review are within the Adult Social Care department, so it is expected that many of those grants are related to activities which involve older people.

Many of the organisations related to this protected characteristic in three different ways:

- The organisation is designed specifically to fulfil duties regarding this protected characteristic
- The organisation was created to target people older people or those with a disability

- The organisations activities appeal to a particular age group or those with a disability

It is therefore recommended that proposals are taken forward for consultation for a 12 week period in line with the Derbyshire Compact. Specific questions in the consultation with stakeholders and the wider public will ask how proposals will impact on residents especially those which are protected in the by the Equality Act 2010. The EIA will be updated following this period.

**Stage 9. Objectives setting/ implementation**

<i>Objective</i>	<i>Planned action</i>	<i>Who</i>	<i>When</i>	<i>How will this be monitored?</i>

**Stage 10. Monitoring and review/ mainstreaming into business plans**

Please indicate whether any of your objectives have been added to service or business plans and your arrangements for monitoring and reviewing progress/ future impact?

**Stage 11. Agreeing and publishing the completed analysis**

Completed analysis approved by \_\_\_\_\_ on \_\_\_\_\_

Where and when published?

**Decision-making processes**

**Where linked to decision on proposals to change, reduce or withdraw service/ financial decisions/ large-scale staffing restructures**

**Attached to report (title):**

**Date of report:**

**Author of report:**

**Audience for report e.g. Cabinet/ date:**

**Web location of report:**

**Outcome from report being considered**

**Details of follow-up action or monitoring of actions/ decision undertaken**

**Updated by:**

**Date:**

## Grant Funding Extension Tables

<b>1.0 – Adult Care Grant allocations to VCS organisations</b>		
<b>Organisation Name</b>	<b>Brief description of what the grant is provided for/activity it supports, including the type of service</b>	<b>April 2022 – March 2023 (£'s)</b>
Age Concern (Chesterfield)	Information, advice and befriending services for older people	10,741
Age UK Derby & Derbyshire	Countywide Mobile Information Service / Information Roadshow	19,547
Age UK Derby & Derbyshire	Erewash Careline Service	4,500
Age UK Derby & Derbyshire	Bakewell / Buxton Befriending Service	48,099
Age UK Derby & Derbyshire	First Taste - Provision of arts education workshops for older people in residential establishments and day care centres located in the Derbyshire Dales area.	5,200
Amber Valley CVS	Befriending service for older disabled people	6,457
Barlborough Darby and Joan Luncheon Club	Luncheon Club	1,568
Borrowbrook Home Link	Offers support to older adults living in the Borrowash / Ockbrook area. Including the provision of advice and info., regular home visiting service for housebound older people, monthly community lunch club, drop in coffee mornings, a monthly Friendship / Befriending Club for older people and outings.	2,800
Chesterfield and District African / Caribbean Community Association	Provides a range of activities for the African Caribbean community, with funding provided acting as a contribution to the Organisers salary and the provision of a range of activities, which includes a luncheon club for older African / Caribbean people.	20,282

Connex Community Support	Contribution towards befriending services	3,000
Connex Community support	Contribution towards the provision of a Volunteer Car Scheme	16,306
Derbyshire Autism Services Group	Provision of services for vulnerable adults who are autistic.	26,804
Derbyshire Dales Careline	Telephone befriending service to elderly, disabled and vulnerable adults living in the south Derbyshire Dales locality.	2,010
Dronfield Welcome Club.	Weekly Club for older people where they can meet and socialise, and includes the provision of lunch. The majority of the people who attend Dronfield Welcome Club are housebound and / or live on the own. Funding is used towards the cost of transport and carers.	629
Eckington and District Monday Club	Provides a voluntary service each Monday for adults with learning disabilities. It is a social meeting but included in the club are Independent living skills, mentoring, befriending, advice and a different activity each week. Also we organise holidays and day trips out, otherwise denied to most members.	3,216
Erewash Voluntary Action CVS	Shopping Service for older people and vulnerable adults.	31,711
Erewash Voluntary Action CVS	Contribution towards befriending services	3,000
Eventide Luncheon Club	Luncheon Club.	10,000
Glebe Field Centre (Crich)	Provision of day services to older people.	12,000
Glossopdale Furniture Project	To provide good quality affordable furniture and other household items to people on low incomes. Providing free furniture packs to people who have previously been homeless and are given a new tenancy, but who are not eligible for any financial help.	32,133
Greenaway Workshop	Greenaway Workshop provides therapeutic care in a meaningful structured day for people with long term health conditions be it physical disabilities,	10,161

	sensory impairment or mild learning difficulties, for those who reside in Mid-North Derbyshire, with printing and cane chair renovation being the main activities.	
Heanor Salcare	Contribution towards services, in particular the provision of recycled furniture and other household items to people on low income living in the Amber Valley area. General service info: Salcare provides a range of support and services incl.: Food bank, Community kitchen, Charity shop, Furniture warehouse, Drop in for welfare and benefit advice, Community laundry, Confidential space for clients to meet with other agencies, Work placements and volunteer opportunities.	54,054
New Mills Volunteer Centre	Contribution towards befriending services	250
Ilkeston and District Centre for Voluntary Service - Flamstead	Funding to support the provision of day care	22,647
Mencap - Swadlincote Gateway Club	Everyone who attends is an adult with learning disability. A range of activities are provided that meet people's interests and support their wellbeing e.g. sports and crafts activities.	2,675
Our Vision Our Future	A self-advocacy group run for and by adults aged 18 and over with learning disabilities, which including visual, sensory, physical disabilities, autism, Asperger's, limited mobility, memory and communication problems.	30,375
Former lunch club Ripley	Former Ripley Neighbourhood Care Scheme, closed 2020. Holding in budget while review undertaken	2,369
South Derbyshire CVS	Provision of a social car scheme	7,406
South Derbyshire CVS	Provision of a volunteer befriending service for all adults in South Derbyshire (known as The Connect Befriending Service	33,848
Stanley Common Eventide Community Hall	Provision of a range of activities for vulnerable people living in the Stanley Common and surrounding area, in particular the employment of a Community Development Worker	10,000



Stepping Stones (Amber Valley)	Provision of day care for older people	15,549
The Bureau (Volunteer Centre Glossop)	Contribution towards the provision of the Time Out shopping service	21,936
The Volunteer Centre (Chesterfield)	Elderfriends befriending project and volunteer support	17,036
Various Luncheon Clubs	A range of small community groups/clubs provide lunch and activities	18,000
	<b>Total</b>	<b>506,309</b>

<b>2.0 - Public Health allocations to VCS organisations</b>		
<b>Organisation Name</b>	<b>Brief description of what the grant is provided for/activity it supports, including the type of service</b>	<b>April 2022 – March 2023 (£'s)</b>
Derbyshire 50+ Forums: Ashbourne	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	639
Derbyshire 50+ Forums: Belper	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	672
Derbyshire 50+ Forums: Bolsover	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	1,330
Derbyshire 50+ Forums: Clay Cross	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and	616

	influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	
Derbyshire 50+ Forums: Glossop	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	1,128
Derbyshire 50+ Forums: Heanor	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	508
Derbyshire 50+ Forums: Ilkeston	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	1,060
Derbyshire 50+ Forums: Long Eaton	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	620
Derbyshire 50+ Forums: Matlock	50+ Forums give people the opportunity to: come together in their local area to take action on issues that are important to them; engage with and influence services that impact on older lives; get information and advice; and socialise and connect with others and build friendships.	352
	<b>Total</b>	<b>6,925</b>

### 3.0 - Policy and Research grant allocations to VCS organisations

Organisation Name	Brief description of what the grant is provided for/activity it supports, including the type of service	April 2022 – March 2023 (£'s)
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Asian Association of Chesterfield and North Derbyshire	To support the core costs of the organisation and strengthen the capacity of the BME sector as a whole within the county. They undertake variety of functions and organise activities to support their communities of interest	3,744
Chesterfield African Caribbean Community Association	To support the core costs of the organisation and strengthen the capacity of the BME sector as a whole within the county. They undertake variety of functions and organise activities to support their communities of interest	3,744
Chesterfield Muslim Association	To support the core costs of the organisation and strengthen the capacity of the BME sector as a whole within the county. They undertake variety of functions and organise activities to support their communities of interest	1,821
Derbyshire Chinese Welfare Association	To support the core costs of the organisation and strengthen the capacity of the BME sector as a whole within the county. They undertake variety of functions and organise activities to support their communities of interest	2,186
Muslim Welfare Association	To support the core costs of the organisation and strengthen the capacity of the BME sector as a whole within the county. They undertake variety of functions and organise activities to support their communities of interest	1,821
BME Infrastructure	BME Consultation	13,225
Derbyshire Law Centre	To support its core costs in providing specialist legal advice, assistance and representation through face-to-face and telephone services at its main office in Chesterfield and other locations throughout the county.	90,124
Specialist Advice South Derbyshire CAB	To support its core costs in providing specialist employment advice in South Derbyshire.	10,400
	<b>Total</b>	<b>127,065</b>

#### 4.0 - Arts Service grant allocations to VCS organisations

Organisation Name	Brief description of what the grant is provided for/activity it supports, including the type of service	April 2022 – March 2023 (£'s)
Buxton Festival	To carry out participatory arts work with disadvantaged groups	5,723
Fleet Arts	To carry out participatory arts work with disadvantaged groups	18,006
High Peak Community Arts	To carry out participatory arts work with disadvantaged groups	18,006

Junction Arts	To carry out participatory arts work with disadvantaged groups	15,200
Learning Through Arts	To carry out participatory arts work with disadvantaged groups	16,028
Level Centre Ltd	To carry out participatory arts work with disadvantaged groups	24,925
Live and Local	To carry out participatory arts work with disadvantaged groups	13,582
Orchestras Live	To carry out participatory arts work with disadvantaged groups	6,377
People Express	To carry out participatory arts work with disadvantaged groups	16,323
Wash Arts	To carry out participatory arts work with disadvantaged groups	3,642
	<b>Total</b>	<b>137,812</b>



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Agenda Item

**FOR PUBLICATION**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**6 December 2021**

**Report of the Managing Executive Director and  
Report of the Executive Director for Adult Social Care and Health**

**VOLUNTARY AND COMMUNITY SECTOR  
INFRASTRUCTURE PROVISION**  
(Health and Communities)

**1. Divisions Affected**

1.1 County-wide

**2. Key Decision**

2.1 This is a key decision because it is likely to result in the Council incurring expenditure which is, or savings which are significant having regard to the budget for the service or function concerned and it is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas in the County.

**3. Purpose**

3.1 To update Cabinet on the progress of the new funding approach to Voluntary and Community Sector (VCS) infrastructure provision and to seek approval to extend VCS infrastructure grants for a period of twelve months from 1 April 2022 to 31 March 2023.

## **4. Information and Analysis**

### **4.1 Background**

On 30 July 2020, Cabinet approved proposals for the future approach and funding of voluntary and community sector infrastructure support provision, awarded for a twelve-month period, from 1 October 2021 to 30 September 2022.

A further report in July 2021 outlined the progress made implementing the new approach with providers and agreed a six-month extension from 1 October 2021 to 31 March 2022. This allowed for a full year's monitoring using the new outcomes framework and brought funding back into line with the financial year. Cabinet in July 2021 also agreed an additional £250,000 funding from Public Health, to ensure that there is adequate VCS infrastructure in place to provide ongoing support to Covid 19 response, recovery and potential resurgence.

### **4.2 Progress with the new approach**

Over the past 18 months the Council has been continuing to progress the new shared approach for VCS infrastructure provision across Derbyshire, underpinned by the following:

- District level funding allocations, with providers working together where there are multiple providers within a district
- New Service Level Agreements (SLA) on an organisation/district basis, with a commitment from providers to deliver core VCS support through a shared outcomes framework, outlining the funding providers receive and how it is spent
- A comprehensive list of co-designed Key Performance Indicators with providers, understanding the impact and what is being achieved
- Active and frequent engagement with providers through monitoring and partnership meetings, embedding the approach and maintaining an understanding of the challenges relating to the Covid 19
- Regular meetings with Derby and Derbyshire Clinical Commissioning Group (CCG), ensuring a consistent approach across the two organisations.

This strong platform of VCS infrastructure provision enabled Public Health to invest an additional £250,000 funding, as a one-off to ensure specialist VCS infrastructure support for Covid 19, linked to the new outcomes framework for those allocated the funding.



#### 4.3 VCS Infrastructure work over the next year

When the Council committed to the future VCS infrastructure approach in July 2020, it made two future commitments which are still outstanding, which was to ensure a greater equity of provision across Derbyshire and to look at ways the Council could make longer-term funding arrangements with providers.

Whilst the Council has made significant progress on developing and embedding the new approach, it is not yet in a position to fulfil the above two commitments, mainly due to the ongoing nature of the pandemic. It has however, maintained funding at its current level and secured additional funding for providers outlined above, albeit for an interim period.

Given the ongoing nature of the pandemic and the sector's role in providing a vital community response, it is recommended that all current grant allocations are maintained at their current levels for an additional twelve-month period, until March 2023 (excluding additional one-off Public Health funding which is in place until 30 September 2022). Adult Care currently have 11 infrastructure support grant arrangements in place across the county, whilst Policy and Research have ten grant arrangements. The total cost of infrastructure support is currently £452,228. Further details of the current grant arrangements are set out in Appendix 2 (Table 1.0 and Table 2.0).

It is recommended that Cabinet notes the following work, necessary over the next year, to further develop and embed the approach, and fulfil the Council's previous commitments, as follows:

- Develop options for how the Council could allocate funding which ensures a greater equity of provision
- Look at ways the Council could make longer-term, multi-year funding arrangements with providers
- Work with infrastructure providers to further refine Key Performance Indicators and ensure the objectives in the shared outcomes framework are being met
- Work with funding partners to work towards a more consistent approach
- Work with Derby and Derbyshire CCG through the transition to the new Integrated Care System to ensure understanding and commitments are maintained.

Updates on the continued development and future plans for VCS infrastructure provision will be reported back to Cabinet in due course.

## **5. Alternative Options Considered**

- 5.1 Alternative Option 1 Do Nothing – The Council could let current grant arrangements expire and end existing funding arrangements moving forward. This option is not desirable as it would not support the Councils commitments made to support VCS infrastructure provision in July 2020, recognising the crucial role of providers in supporting the sector. Any significant loss of funding could threaten VCS infrastructure provision and this in turn could harm the Councils ability to meet its strategic aims.
- 5.2 Alternative Option 2 Do Something Different – The Council could extend current arrangements whilst it undertakes a procurement exercise. Whilst significant progress has been made on the new approach and what the Council requires with this funding, this option is not desirable at this time as this current approach is still being embedded and further information would be required to undertake a procurement exercise, alongside engagement with current providers.

## **6. Implications**

- 6.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

## **7. Background Papers**

- 7.1 Report to Cabinet - Voluntary and Community Sector Review 30 July 2020
- 7.2 Report to Cabinet – Voluntary and Community Sector Review 29 July 2021

## **8. Appendices**

- 8.1 Appendix 1 - Implications.
- 8.2 Appendix 2 – Infrastructure Support Grant Payments:  
Table 1.0 Adult Care Payments  
Table 2.0 Policy and Research Payments.

## **9. Recommendations**

That Cabinet:

- a) Notes progress of the Council’s approach to the voluntary and community sector infrastructure core provision and agree the additional work to further develop and embed the future approach.
- b) Approves proposals to fund the voluntary and community sector infrastructure organisations totalling £452,228, from 1 April 2022 until 31 March 2023, as set out in the report.

**10. Reasons for Recommendations**

- 10.1 To ensure Cabinet is aware of the work that has been completed on the approach to VCS infrastructure provision, understand the reason for a one-year grant funding extension and the work that will take place before March 2023.
- 10.2 To ensure all infrastructure providers maintain their current levels of funding for an additional twelve-month period to allow the Council to develop options which move towards a greater equity of provision and a consistent approach with funding partners from March 2023.

**11. Is it necessary to waive the call in period?**

- 11.1 No

**Report Author: Robert Lowe**  
**robert.lowe@derbyshire.gov.uk**

**This report has been approved by the following officers:**

<p><b>On behalf of:</b>           Director of Legal Services and Monitoring Officer          Director of Finance and ICT          Managing Executive Director          Executive Director(s)</p>	
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**Implications****Financial**

1.1 The tables below summarise the budget allocations for the twelve-month period April 2022 to March 2023 against each grant area.

**1.2 Infrastructure Funding**

	<b>Number</b>	<b>£'s</b>
Adult Care Funding	10	245,336
Policy and Research Funding	11	206,892
<b>Total</b>	<b>30</b>	<b>452,228</b>

All the proposed Adult Care and Policy and Research allocations are based on funding agreed in respect of the 2022-23 year and can be met from existing Adult Care and Policy and Research and Adult budgets.

**Legal**

2.1 The Council has power to provide grants to voluntary and community sector organisations under the general power of competence set out in section 1 of the Localism Act 2011.

**Human Resources**

3.1 The voluntary and community sector organisations currently funded by the Council as part of this report are independent of the County Council and therefore there are no human resources considerations.

**Other**

4.1 With regard to any organisation providing services to children or vulnerable adults, approval of financial support is conditional on the existence within these organisations of appropriate procedures assessing suitability to work with children or vulnerable adults.

**Consultation**

5.1 Not applicable. There has been no consultation on the proposals outlined in this report.

## **Corporate objectives and priorities for change**

- 6.1 The review of funding to the voluntary and community sector is a key priority outlined in the Council Plan. This will ensure that that resources allocated to the VCS support the Council's ambitions, will enable efficient and effective investment, support a fairer and more equitable allocation of funding and encourage the long-term sustainability of the sector.

## Infrastructure Support Grant Payments

<b>1.0- Adult Care Grant Payments for Infrastructure support</b>		
<b>Organisation Name</b>	<b>Brief description of what the grant is provided for/activity it supports, including the type of service</b>	<b>April 2022 – March 2023 (£'s)</b>
Amber Valley CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	32,518
Bassetlaw CVS (Bolsover District)	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	7,110
Connex Community Support	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	27,236
Derbyshire Dales CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	21,515
Derbyshire Voluntary Action	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	18,166
Erewash Voluntary Action CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	57,095
High Peak CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	4,124
New Mills Volunteer Centre	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	21,513

South Derbyshire CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	36,884
The Bureau (Volunteer Centre Glossop)	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	19,175
	<b>Total</b>	<b>245,336</b>

<b>2.0 Policy and Research grant payments for Infrastructure support</b>		
<b>Organisation Name</b>	<b>Brief description of what the grant is provided for/activity it supports, including the type of service</b>	<b>April 2022 – March 2023 (£'s)</b>
Amber Valley CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	15,378
Bassetlaw CVS (Bolsover District)	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	34,390
Chesterfield Volunteer Centre	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	23,471
Derbyshire Dales CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	15,378
Derbyshire Voluntary Action	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	5,305
Erewash Voluntary Action CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	15,378

High Peak CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	15,378
Links CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	36,058
South Derbyshire CVS	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	15,378
Rural Action Derbyshire	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	15,378
BME Infrastructure	Provide information, support, guidance, training, funding advice for groups, support to recruit and manage volunteers, promote good practice and provide a VCS perspective to the statutory sector at strategic level forums.	15,400
	<b>Total</b>	<b>206,892</b>



## Report Sign Off and Version Control

Report Title	Voluntary and Community Sector Review
Author	Robert Lowe
Meeting and Date	Cabinet 6 December 2021
Version	0.1
Key Decision (published)	Yes
Exempt item (notice of private meeting published)	N/A

Implications	Name and Comments	Date Approved
Finance		
Legal		
Human Resources		
Information Technology	NA	-
Equalities	Simon Macdonald Preston. To be considered further prior to the next report.	
Corporate Objectives and priorities for change	Robert Lowe	
Consultation		
Other – please specify	Robert Lowe	

## Author's Directorate Sign Off

		Date
Managing Executive Director/Executive Director	Helen Jones – Executive Director Adult Social Care & Health	
DMT – if applicable	NA	
CMT – if applicable	Helen Jones – Executive Director Adult Social Care & Health	
Cabinet Member briefed	Carol Hart – Health and Communities	
Other – please specify		

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**FOR PUBLICATION**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**6 December 2021**

**The Forward Plan**

**Report of the Managing Executive Director**

**(Corporate Services & Budget)**

**1. Divisions Affected**

1.1 County-wide

**2. Key Decision**

2.1 This is not a Key Decision

**3. Purpose**

3.1 To present the Executive's Forward Plan for the period 1 January 2022 – 30 April 2022 and provide an opportunity for Cabinet to comment on future decisions for inclusion in the forthcoming Forward Plan.

**4. Information and Analysis**

4.1 An independent review of the Council's Scrutiny function was undertaken in 2020 by the Centre for Governance and Scrutiny. The report commented upon several areas, including the proposal for a Forward Plan which looks to the future, for a period longer than the statutory requirement.

- 4.2 It was believed that such a Forward Plan would enable the four Improvement and Scrutiny Committees to prepare better informed work programmes. This will equally apply to the work programme of the new Improvement and Scrutiny Committee – Climate Change, Biodiversity and Carbon Reduction.
- 4.3 It has been agreed that a four-month rolling Forward Plan be considered by CMT and then by Cabinet monthly. This approach should improve planning and horizon-scanning.
- 4.4 In the interests of effective coordination and public transparency, the Forward Plan includes any item that is likely to require an Executive decision of Cabinet or Cabinet Member whether a key decision or not. The Forward Plan covers the forthcoming 4 months and will be updated on a rolling monthly basis. All items have been discussed and approved by the Corporate Management Team.
- 4.5 The Forward Plan is ultimately the responsibility of the Leader and Cabinet as it contains executive business due for decision. A copy of the Forward Plan covering the period 1 January 2022 – 30 April 2022 is attached at Appendix 2. This document will be published on 15 December to comply with the requirements to give 28 days' notice of key decisions to be considered at the 13 January 2022 Cabinet meeting.

## **5. Consultation**

- 5.1 There is no requirement to consult in relation to the preparation of the Forward Plan.

## **6. Alternative Options Considered**

- 6.1 Cabinet could decide not to agree with any of the items that are suggested for inclusion in the Plan. This would then be referred to the Corporate Management Team.
- 6.2 Cabinet could decide to move the date for consideration of any item provided the requirement to give at least 28 days' notice of a key decision is met.

## **7. Implications**

- 7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

## **8. Background Papers**

8.1 The Scrutiny Review 2020.

## **9. Appendices**

9.1 Appendix 1 - Implications

9.2 Appendix 2 - The Forward Plan for the period 1 January 2022 to 30 April 2022

## **10. Recommendation**

10.1 That Cabinet notes the contents of the Forward Plan attached at Appendix 2 and comments on future decisions for inclusion in the Forward Plan, where appropriate.

## **11. Reasons for Recommendation**

11.1 To promote the items that are due for decision by Derbyshire County Council's Executive during the forthcoming four-month period.

11.2 Whilst the Forward Plan cannot be an exhaustive list of all future decisions, to ensure as far as possible that the Forward Plan gives an indication of those decisions which it is known the executive will need to consider in the coming period and can therefore be used as a planning tool for managing the work programme of the executive and Improvement and Scrutiny Committees.

## **12. Is it necessary to waive the call-in period?**

12.1 No

**Report Author:** Helen Barrington    **Contact details:** [helen.barrington@derbyshire.gov.uk](mailto:helen.barrington@derbyshire.gov.uk)

## **Implications**

### **Financial**

1.1 None directly arising from this report.

### **Legal**

2.1 The Council is required by law to give to give 28 days' notice of key decisions that are scheduled to be taken by the Executive. A key decision is one which is financially significant, in terms of spending or savings, for the service or function concerned (more than £500,000), or which will have a significant impact on communities, in two or more divisions in the County. This legal obligation is discharged by including the necessary information in the 'Forward Plan'.

2.2 There is no legal requirement to have a four-month rolling Forward Plan, however it is good practice to do so to support effective work programmes for the executive and scrutiny functions.

### **Human Resources**

3.1 None directly arising from this report.

### **Information Technology**

4.1 None directly arising from this report.

### **Equalities Impact**

5.1 None directly arising from this report.

### **Corporate objectives and priorities for change**

6.1 The Forward Plan will include future decisions which support the Council's corporate objectives and delivery of the Council Plan priorities and deliverables.

### **Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)**

7.1 None directly arising from this report.



## **FORWARD PLAN FOR THE FOUR MONTH PERIOD 1 JANUARY TO 30 APRIL 2022**

This Forward Plan sets out the details of the executive decisions (key and non-key) which the Cabinet individual Cabinet Members or Officers expect to take during the next four-month period.

Please note that a key decision is a decision to be taken by the Executive which:

- (1) is likely to result in the local authority incurring expenditure or making savings of above £500,000 and/or
- (2) is significant in terms of its effects on communities living or working in an area comprising two or more divisions/county electoral areas.

The current members of the Cabinet are:

Councillor Barry Lewis	Leader of the Council and Cabinet Member for Strategic Leadership, Culture, Tourism and Climate Change
Councillor Simon Spencer	Deputy Leader and Cabinet Member for Corporate Services and Budget
Councillor Carol Hart	Cabinet Member for Health and Communities
Councillor Tony King	Cabinet Member for Clean Growth and Regeneration
Councillor Natalie Hoy	Cabinet Member for Adult Care
Councillor Carolyn Renwick	Cabinet Member for Infrastructure and Environment
Councillor Kewal Athwal	Cabinet Member for Highways Assets and Transport
Councillor Julie Patten	Cabinet Member for Children's Services and Safeguarding
Councillor Alex Dale	Cabinet Member for Education

The information provided for each key decision includes a list of the documents which will be submitted to the decision maker. Further documents relevant to the matter may also be submitted to the decision maker

Subject to any restriction on their disclosure, copies of, or extracts from, any document listed will be available on the County Council's website or for inspection at County Hall, Matlock, Derbyshire DE4 3AG at least five clear days before the meeting.

If you wish to request details of documents listed or further documents as they become available please email Democratic Services at [democratic.services@derbyshire.gov.uk](mailto:democratic.services@derbyshire.gov.uk)

Subject: Derby and Derbyshire 2020 Annual Casualty Report

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Highways Assets & Transport
Documents to be submitted to the decision maker	Report of the Executive Director – Place
Lead Officer	Vanessa Ball – Acting Road Safety, Data and Partnership Manager Email: <a href="mailto:vanessa.ball@derbyshire.gov.uk">vanessa.ball@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Places

Subject: Second Homes

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Adult Care
Documents to be submitted to the decision maker	Executive Director – Adult Social Care & Health
Lead Officer	Sue Whetton Email: <a href="mailto:sue.whetton@derbyshire.gov.uk">sue.whetton@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	People

Subject: 2022-23 Highways Capital Delivery Programme

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Highways Assets & Transport
Documents to be submitted to the decision maker	Report of the Executive Director – Place
Lead Officer	Tom Blackburne-Maze – Highways Programme Director Email: <a href="mailto:tom.blackburne-maze@derbyshire.gov.uk">tom.blackburne-maze@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Places

Subject: Professional Consultancy Services Partnership Contract

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Highways Assets & Transport
Documents to be submitted to the decision maker	Report of the Executive Director – Place
Lead Officer	Tom Blackburne-Maze – Highways Programme Director Email: <a href="mailto:tom.blackburne-maze@derbyshire.gov.uk">tom.blackburne-maze@derbyshire.gov.uk</a>



Improvement and Scrutiny Committee      Places

Subject: Ratification of Executive Director Decision to Receive Community Renewal Fund (Appendix 2 – Exempt)

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Clean Growth & Regeneration
Documents to be submitted to the decision maker	Report of the Executive Director – Place
Lead Officer	Andrew Marsh – Head of Employment and Skills Email: <a href="mailto:Andrew.marsh@derbyshire.gov.uk">Andrew.marsh@derbyshire.gov.uk</a>

Improvement and Scrutiny Committee      Places

Subject: Childcare Sufficiency Assessment 2021-22

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Education
Documents to be submitted to the decision maker	Report of the Executive Director – Children’s Services
Lead Officer	Roberta Foster Email: <a href="mailto:Roberta.foster@derbyshire.gov.uk">Roberta.foster@derbyshire.gov.uk</a>

Improvement and Scrutiny Committee      People

Subject: Treasury Management Mid-Year Report 2020-21

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Corporate Services & Budget
Documents to be submitted to the decision maker	Director of Finance & ICT
Lead Officer	Peter Handford Email: <a href="mailto:peter.handford@derbyshire.gov.uk">peter.handford@derbyshire.gov.uk</a>

Improvement and Scrutiny Committee      Resources

Subject: Voluntary and Community Sector Review Grants Prospectus and Framework.

Is this a key decision?	Yes
Date notice of key decision published	5 November 2021
Date decision to be made	Page 13 19 January 2022
Decision maker	Cabinet

Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Managing Executive Director & Executive Director Adult Social Care & Health
Lead Officer	Sarah Eaton
	e-mail <a href="mailto:sarah.eaton@derbyshire.gov.uk">sarah.eaton@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Places

Subject: Gender Pay Gap Report

Is this a key decision?	No
Date notice of key decision published	n/a
Date decision to be made	13 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Corporate Services & Budget
Documents to be submitted to the decision maker	Managing Executive Director
Lead Officer	Scott Davis – HR Consultant
	Email: <a href="mailto:scott.davis@derbyshire.gov.uk">scott.davis@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: Report Seeking Approval to Procure Projects in Addition to the Latest Departmental Forward Plan - EXEMPT

Is this a key decision?	Yes
Date notice of key decision published	15 September 2021
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Highways Assets and Transport
Documents to be submitted to the decision maker	Report of the Executive Director – Place
Lead Officer	George Davies – Senior Procurement Officer
	Email: <a href="mailto:George.Davies@derbyshire.gov.uk">George.Davies@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Places

Subject: An Update on the Implementation of the Scrutiny Action Plan

Is this a key decision?	No
Date notice of key decision published	n/a
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Corporate Services & Budget
Documents to be submitted to the decision maker	Report of the Managing Executive Director
Lead Officer	Jeanette Mcgarry
	Email: <a href="mailto:jeanette.mcgarry@derbyshire.gov.uk">jeanette.mcgarry@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	All

Subject: Freeport - EXEMPT

Is this a key decision?	Yes
Date notice of key decision published	5 November 2021
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Executive Director – Place
Lead Officer	Joe Battye Email: <a href="mailto:joe.battye@derbyshire.gov.uk">joe.battye@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Places

Subject: Quarter 2 Council Plan Performance and budget update

Is this a key decision?	Yes
Date notice of key decision published	13 May 2021
Date decision to be made	13 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Managing Executive Director and Director of Finance & ICT
Lead Officer	Emma Alexander – Managing Executive Director e-mail <a href="mailto:emma.alexander@derbyshire.gov.uk">emma.alexander@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: Capital Budget Monitoring and Forecast as at Quarter 2 2021-22

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	13 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Managing Executive Director and Director of Finance & ICT
Lead Officer	Peter Handford Email: <a href="mailto:peter.handford@derbyshire.gov.uk">peter.handford@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: Staveley Waterside Phase 1

Is this a key decision?	Yes
Date notice of key decision published	20 October 2021
Date decision to be made	13 January 2022
Decision to be taken by	Cabinet

Relevant Cabinet portfolio  
Documents to be submitted to the  
decision maker  
Lead Officer

Clean Growth & Regeneration  
Report of the Executive Director – Place  
  
Peter Storey – Head of Markham Vale  
Email: [peter.storey@derbyshire.gov.uk](mailto:peter.storey@derbyshire.gov.uk)

Improvement and Scrutiny Committee

Places

#### Subject: Budget Consultation

Is this a key decision?  
Date notice of key decision published  
Date decision to be made  
Decision maker  
Relevant Cabinet portfolio  
  
Documents to be submitted to the  
decision maker  
Lead Officer

Yes  
13 May 2021  
20 January 2022  
Cabinet  
Strategic Leadership, Culture, Tourism &  
Climate Change  
Report of the Director of Finance & ICT  
  
Peter Handford  
e-mail [peter.handford@derbyshire.gov.uk](mailto:peter.handford@derbyshire.gov.uk)  
Resources

Improvement and Scrutiny Committee

#### Subject: Revenue Budget Report 2022-23

Is this a key decision?  
Date notice of key decision published  
Date decision to be made  
Decision maker  
Relevant Cabinet portfolio  
  
Documents to be submitted to the  
decision maker  
Lead Officer

Yes  
13 May 2021  
20 January 2022  
Cabinet  
Strategic Leadership, Culture, Tourism &  
Climate Change  
Report of the Director of Finance & ICT  
  
Peter Handford  
e-mail [peter.handford@derbyshire.gov.uk](mailto:peter.handford@derbyshire.gov.uk)  
Resources

Improvement and Scrutiny Committee

#### Subject: Schools Block Funding Settlement 2022-23

Is this a key decision?  
Date notice of key decision published  
Date decision to be made  
Decision maker  
Relevant Cabinet portfolio  
Documents to be submitted to the  
decision maker  
Lead Officer

Yes  
20 October 2021  
20 January 2022  
Cabinet  
Education  
Joint report of the Executive Director for Children's  
Services and Director of Finance & ICT  
Chris Allcock – Head of Finance (Schools)  
Email: [Chris.allcock@derbyshire.gov.uk](mailto:Chris.allcock@derbyshire.gov.uk)  
Resources

Improvement and Scrutiny Committee

#### Subject: Capital Programme Approval, Treasury Management and Capital Strategy

Is this a key decision?	Yes
Date notice of key decision published	13 May 2021
Date decision to be made	20 January 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Managing Executive Director and Director of Finance & ICT
Lead Officer	Peter Handford e-mail <a href="mailto:peter.handford@derbyshire.gov.uk">peter.handford@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: Procurement of Nicotine Replacement Therapy Contract - EXEMPT

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	10 February 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Director of Public Health
Lead Officer	Ruth Shaw Email: <a href="mailto:ruth.shaw@derbyshire.gov.uk">ruth.shaw@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Health

Subject: Reimbursement of stop smoking pharmacotherapy costs

Is this a key decision?	Yes
Date notice of key decision published	20 October 2021
Date decision to be made	10 February 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Director of Public Health
Lead Officer	Hayley Gleeson e-mail <a href="mailto:hayley.gleeson@derbyshire.gov.uk">hayley.gleeson@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Health

Subject: The Early Help Transition Team

Is this a key decision?	Yes
Date notice of key decision published	5 November 2021
Date decision to be made	10 February 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Children's Services
Documents to be submitted to the decision maker	Report of the Executive Director – Children's Services
Lead Officer	Chris Caley

Email: [chris.caley@derbyshire.gov.uk](mailto:chris.caley@derbyshire.gov.uk)

Improvement and Scrutiny Committee People

Subject: Climate Change Projections, Risk and Adaptation

Is this a key decision?	Yes
Date notice of key decision published	15 September 2021
Date decision to be made	10 February 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Executive Director – Adult Social Care & Health
Lead Officer	Caroline Toplis – Programme Manager – Climate Change e-mail <a href="mailto:caroline.toplis@derbyshire.gov.uk">caroline.toplis@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Climate Change, Biodiversity and Carbon Reduction

Subject: Quarter 3 Council Plan Performance and budget update

Is this a key decision?	Yes
Date notice of key decision published	13 May 2021
Date decision to be made	10 March 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Strategic Leadership, Culture, Tourism & Climate Change
Documents to be submitted to the decision maker	Report of the Managing Executive Director and Director of Finance & ICT
Lead Officer	Emma Alexander – Managing Executive Director e-mail <a href="mailto:emma.alexander@derbyshire.gov.uk">emma.alexander@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: Localities Programme 2022-23 to 2024-25

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	10 March 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Director of Public Health
Lead Officer	Ellen Langton Email: <a href="mailto:ellen.langton@derbyshire.gov.uk">ellen.langton@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Health

Subject: Approval of the Derbyshire Sexual Health Service within a Section 75 for implementation April 2022

Is this a key decision?	Yes
Date notice of key decision published	15 December 2021
Date decision to be made	10 February 2022
Decision to be taken by	Cabinet
Relevant Cabinet portfolio	Health & Communities
Documents to be submitted to the decision maker	Report of the Director of Public Health
Lead Officer	Ellen Langton Email: <a href="mailto:ruth.shaw@derbyshire.gov.uk">ruth.shaw@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Health

Subject: Central School Services Block and Pupil Growth Fund Settlement 2022-23

Is this a key decision?	Yes
Date notice of key decision published	20 October 2021
Date decision to be made	10 March 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Education
Documents to be submitted to the decision maker	Joint report of the Executive Director for Children's Services and Director of Finance & ICT
Lead Officer	Shelley Kerslake – Senior Business Partner (Children's Services) Email: <a href="mailto:Shelley.Kerslake@derbyshire.gov.uk">Shelley.Kerslake@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: Early Years Block Funding Settlement 2022-23

Is this a key decision?	Yes
Date notice of key decision published	20 October 2021
Date decision to be made	10 March 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Education
Documents to be submitted to the decision maker	Joint report of the Executive Director for Children's Services and Director of Finance & ICT
Lead Officer(s)	Shelley Kerslake – Senior Business Partner (Children's Services) Email: <a href="mailto:Shelley.Kerslake@derbyshire.gov.uk">Shelley.Kerslake@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: High Needs Block Funding Settlement 2022-23

Is this a key decision?	Yes
Date notice of key decision published	20 October 2021
Date decision to be made	10 March 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Education

Documents to be submitted to the decision maker Lead Officer(s)	Joint report of the Executive Director for Children's Services and Director of Finance & ICT Shelley Kerslake – Senior Business Partner (Children's Services) Email: <a href="mailto:Shelley.Kerslake@derbyshire.gov.uk">Shelley.Kerslake@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	Resources

Subject: Levelling Up

Is this a key decision?	Yes
Date notice of key decision published	5 November 2021
Date decision to be made	7 April 2022
Decision maker	Cabinet
Relevant Cabinet portfolio	Leader of the Council and Cabinet Member for Strategic Leadership, Culture, Tourism and Climate Change
Documents to be submitted to the decision maker Lead Officer	Executive Director for Children's Services  Iain Peel Email: <a href="mailto:iain.peel@derbyshire.gov.uk">iain.peel@derbyshire.gov.uk</a>
Improvement and Scrutiny Committee	People





**FOR PUBLICATION**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**Monday, 6 December 2021**

**Report of the Executive Director - Place**

**Public Engagement on Transport and Regeneration Projects**  
(Cabinet Member for Infrastructure and Environment)

**1. Divisions Affected**

1.1 County-wide.

**2. Key Decision**

2.1 This is a key decision because it is likely to be significant in terms of its effect on communities living or working in an area comprising two or more electoral areas in the County.

**3. Purpose**

3.1 To update Cabinet on proposals for the preparation and delivery of two specific projects within the regeneration programme and to request authorisation to carry out a public engagement exercise in relation to these projects.

**4. Information and Analysis**

**4.1 Background**

Cabinet receives regular reports either outlining progress on current transport and regeneration projects or setting proposals to develop such schemes. A 'pipeline' of projects is actively planned and managed by the Council and covers proposals that address the regeneration and local plan ambitions of districts/boroughs, facilitate 'good growth or

alleviate transport problems such as traffic congestion and other environmental issues.

- 4.2 The Council has an active programme of project delivery and is currently completing the Woodville - Swadlincote Regeneration Route and also infrastructure to support the expansion of Ashbourne Airfield Industrial Estate. Enabling works are also underway to bring forward the Hollis Lane Link Road Phase 1 as a key component of the Chesterfield Station Masterplan.
- 4.3 The Council also has a number of projects which are progressing through the 'development stage' and Cabinet has previously agreed to support preparatory work such as feasibility studies, ground investigation and option development etc on the Chesterfield-Steveley Regeneration Route ( 6 June 2019, Minute No.116/19 refers); the South Derby Growth Zone (8 November 2018, Minute No.266/18 refers); and Ashbourne Relief Road (11 February 2021, Minute No.28/21). More recently, Cabinet agreed the use of the dedicated Regeneration Kickstart Fund at its meeting on 17 June 2021 (Minute No. 100/21 refers) to 'pump prime' this preparatory work.
- 4.4 The current pipeline of projects also includes other potential schemes for development and construction, including:
- Improving access to Shirebrook in association with regeneration plans for the town.
  - Addressing transport problems on the A61 south of Chesterfield.
  - Bringing forward a solution to Swarkestone Bridge, where a principal road crosses a Scheduled Monument and Grade 1 Listed Building at significant risk of damage.
- 4.5 A full review of the forward pipeline is underway, with work being carried out to assess and confirm a prioritised programme of projects. The outputs of this work are planned for consideration by Cabinet in the early New Year and will:
- Ensure appropriate allocation of staff and revenue monies to carry out preparatory work.
  - Ensure consistency between different projects in the delivery stages identified and the ways in which 'gateway' reviews (decisions to go/ no go) are carried out.
  - Ensure that reporting can meet corporate and Cabinet Member reporting standards.
  - Streamline governance so that Cabinet and Cabinet Members have timely and accurate oversight of the programme.

- 4.6 In the meantime though, to ensure progress is maintained on two specific projects within the preparation/ development stage of the pipeline, approval is sought to undertake the following specific activity:
- 4.7 **Chesterfield-Steveley Regeneration Route (CSRR)**  
As reported on 6 June 2019, this project sits within the Department for Transport's 'Large Local Major' programme as one of a small number of strategic transport projects in the Midlands; a Strategic Outline Business Case (SOBC) has already been approved by Government. The next approval stage, the Outline Business Case, is now expected to be submitted in the final quarter of this current financial year and needs to demonstrate that an appropriate level of stakeholder and public engagement has taken place.
- 4.8 The CSRR is a long-established proposal set out in the Chesterfield Local Plan which identifies the transport corridor and protects it against any other land-use that would prevent construction of the scheme. Recent work to minimise the project costs and risks has identified a number detailed points on which there will be legitimate public interest. This includes river and canal crossings, and junction arrangements where the proposed Regeneration Route crosses Works Road south of Barrow Hill and where it meets Hall Lane and the A6192 Ireland Close at Steveley.
- 4.9 The above do not constitute a different route corridor to the one which landowners and members of the public will have seen in the Local Plan but they do introduce features which have not previously been presented to the public:
- For the points at which the Regeneration Route crosses the River Rother and the Chesterfield Canal, ways in which costs and risks can be minimised through re-aligning the watercourses.
  - With regard to the easternmost of these, at Steveley, where flood zone protection is also an issue, a scheme option has been developed which would avoid the River and Canal crossings entirely through realignment of Hall Lane
- 4.10 It is therefore considered that public engagement is necessary to outline these details, ahead of OBC submission in Spring 2022; however, this engagement is without prejudice to the formal consultation which will be required ahead of the submission of any planning application, likely to take place late in 2022.

#### **4.11 A61 South of Chesterfield**

This project comprises the assessment of short, medium and long term options to identify possible solutions that address traffic and transport issues on the A61 south of Chesterfield. Work is currently being taken forward by in house resources, is supported by previously - commissioned work carried out last year. There is a close interface between the A61 work and elements of the Clay Cross Town Investment Plan, led by North East Derbyshire District Council which is also due for submission to Government in Spring 2022.

4.12 The recent introduction of signalised junction improvements and real-time bus passenger information along the A61 is likely to be followed by further similar projects funded through contributions from developers of housing sites along the corridor. Amongst the long term potential options available for improvements to the A61, (all of which are likely to require grant funding) is a possible link across or through the Avenue site to the A617 or a potential scheme to address traffic levels and congestion through the centre of Clay Cross.

4.13 comprehensive, strategic discussion around the nature of existing problems, priorities and potential options which will then inform the next stage of scheme development. Cabinet should note that the Clay Cross Town Investment Plan has to fulfil its own, specific requirements for public engagement and any approach taken by the Council to engage the public on the A61 south project will need to be undertaken with care to avoid duplication or confusion.

4.14 Subject to Cabinet approval, the CSRR and A61 public engagement exercises outlined above will take place during the current financial year through a combination of physical and virtual formats. Whilst there is no doubt that many people will welcome the opportunity to view drawings and to discuss these face-to-face, recent experience (in particular, on Ashbourne Bypass) shows that virtual formats are very effective in engaging groups of people who may not or cannot attend physical events and can help secure wider take up.

### **5. Consultation**

5.1 This decision is required in order for public engagement to be carried out; none has therefore taken place in advance.

### **6. Alternative Options Considered**

6.1 Alternatives to the carrying out of the proposed public engagement exercises would be:

## 6.2 CSRR

### Option 1

To withdraw the scheme from the Large Local Majors programme; this would be a major decision in itself and Cabinet would need to review a report on alternative ways of delivering the project's objectives in tackling strategic transport issues east of Chesterfield.

## 6.3 Option 2

To delay public engagement to a later date; this is incompatible with the programme requirements of the Large Local Majors grant fund.

## 6.4 Option 3

To proceed to OBC without carrying out public engagement; this carries two significant areas of risk:

- That the OBC itself is judged by Government to set out insufficient evidence of support.
- That scheme alterations arising from later public consultation change its alignment or cost from those set out in the OBC.

6.5 The relatively modest implications of carrying out public engagement ahead of OBC submission minimise these risks.

## 6.6 A61 South

Option 1: do not carry out public engagement at this stage. This is not considered a suitable option as scheme development is enhanced through the input of local people and the timing of this activity aligns with the wider preparations of the Clay Cross Town Investment Plan.

## 7. Implications

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

## 8. Background Papers

8.1 The Regeneration Kick Start Fund was created through Revenue Budget Report 2021-22 approved by Cabinet on 21 January 2021 (Minute No 18/21 refers) with arrangements for making allocations to projects being subsequently approved on 17 June 2021.

## 9. Appendices

9.1 Appendix 1 – Implications.

9.2 Appendix 2 – Location Plans.

## **10. Recommendations**

That Cabinet:

- a) Notes the on-going development and delivery of the regeneration pipeline and pending report to Cabinet due in the New Year.
- b) Approves that public engagement be carried out on key aspects of the proposed Chesterfield-Steveley Regeneration Route and traffic issues on the A61 South of Chesterfield as set out in paragraphs 4.5 to 4.12.

## **11. Reasons for Recommendation**

- 11.1 To understand the wider context within which the two proposed projects sit.
- 11.2 To ensure appropriate engagement is undertaken with the public and interested parties in the preparation of potential projects.

## **12. Is it necessary to waive the call in period?**

12.1 No.

Report Author: Joanna Jackson,  
Author: Jim Seymour

Contact details: Joanna.Jackson@derbyshire.gov.uk,  
Jim.Seymour@derbyshire.gov.uk

## **Implications**

### **Financial**

- 1.1 Should the report be approved, costs of the two public engagement exercises will need to be approved from the Regeneration Kick Start Fund via the delegations previously approved by Cabinet. Based upon similar recent work, each of the two exercises would be expected to be met within a £20,000 budget.

### **Legal**

- 2.1 None.

### **Human Resources**

- 3.1 Should the report be approved, work is likely to be commissioned through existing frameworks; no human resource issues as a direct result of this report.

### **Information Technology**

- 4.1 None.

### **Equalities Impact**

- 5.1 The public engagement exercises will need to meet accessibility standards. The combination of physical and virtual formats will assist in ensuring that all interested parties are able to participate easily.

### **Corporate objectives and priorities for change**

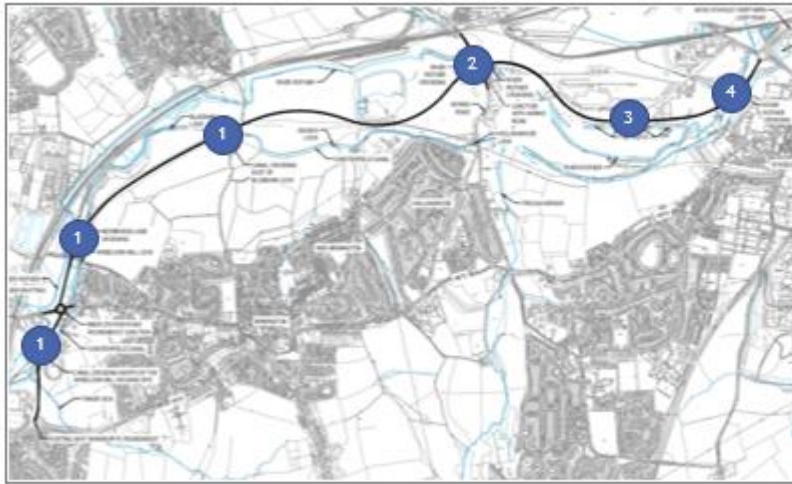
- 6.1 None.

### **Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)**

- 7.1 None arising directly from this report. Individual projects may involve several of these considerations as they progress.

## Appendix 2 – Location Plans

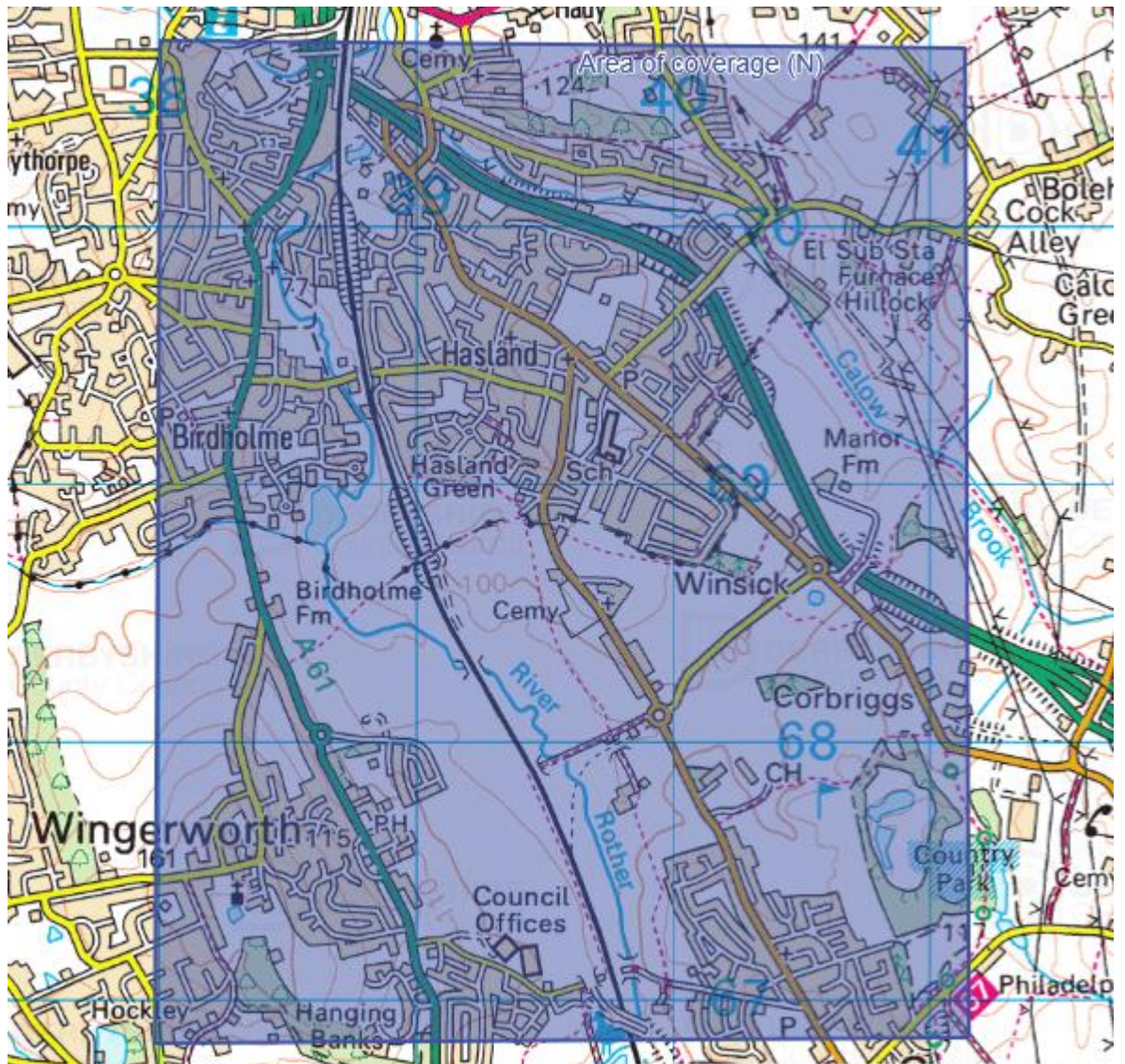
### 1. Chesterfield-Staveley Regeneration Route

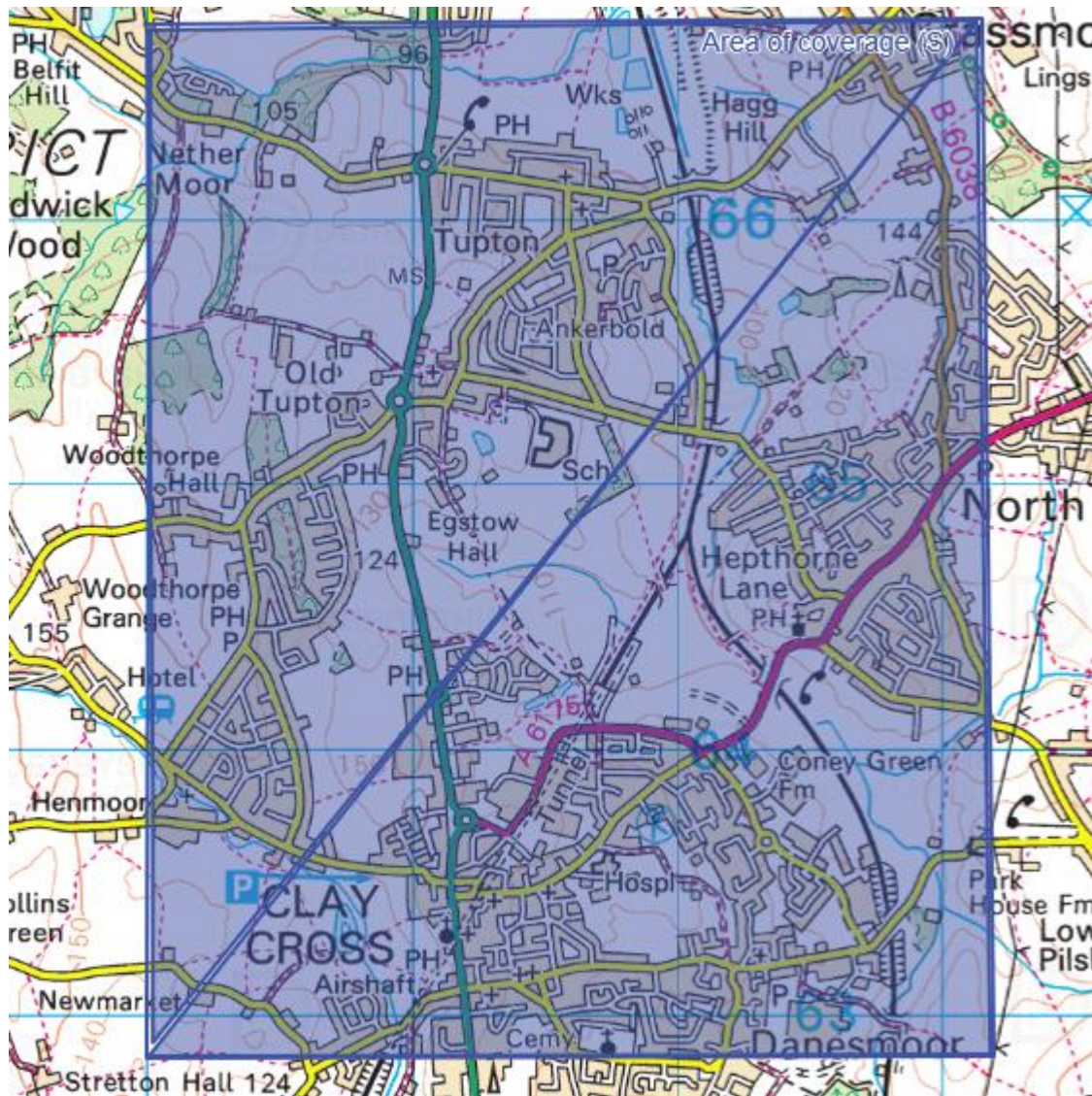


- 1 Canal Diversions
- 2 Works Road Structure
- 3 Contaminated Land
- 4 Hall Lane Structure



2. A61 South of Chesterfield







**FOR PUBLICATION**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET**

**Thursday, 9 December 2021**

**Report of the Director - Public Health**

**Physical Activity Programmes Grants for District and Borough and  
SHIFT to March 2023**

(Cabinet Member for Health and Communities)

**1. Divisions Affected**

1.1 Countywide

**2. Key Decision**

2.1 This is a Key Decision

**3. Purpose**

3.1 The purpose of this report is to seek Cabinet approval to extend the physical activity grant funding for Exercise by Referral, Walk Derbyshire and Active Fostering services delivered by district and borough councils from 1 April 2022 to 31 March 2023 and to extend the grant funding for Jog Derbyshire, delivered by SHIFT for the same period.

**4. Information and Analysis**

4.1 Physical inactivity is the fourth leading risk factor for global mortality accounting for 6% of deaths globally. People who have a physically active lifestyle have a 20-35% lower risk of cardiovascular disease,

coronary heart disease and stroke compared to those who have a sedentary lifestyle. Regular physical activity is also associated with a reduced risk of diabetes, obesity, osteoporosis, and colon/breast cancer and with improved mental health. In older adults, physical activity is associated with increased functional capacities.

- 4.2 Exercise by Referral is a 12-week programme for inactive adults with specific health conditions including those who have had a heart attack, who have chronic obstructive pulmonary disease (COPD) and diabetes. More recently cancer and Long Covid have been added to the criteria. The programme equips people with the knowledge, skills, confidence, and self-efficacy to be more active in their local leisure centre or through other community-based activity and to continue this longer term. During Covid-19 restrictions, face-to-face provision was paused and providers adapted the service, delivering online content, developing home based programmes, and providing 1:1 support via telephone and video.
- 4.3 Between December 2020 and September 2021, 1,310 people accessed the programme. Now face-to-face provision is back in place, numbers are steadily increasing, and a new pathway has been developed for individuals who have been diagnosed with Long Covid.
- 4.4 Walk Derbyshire is a network of walks that support people to lead more active lives within their local communities. From September 2018, funding has focussed on growing the network of supported walks for inactive people across Derbyshire, including developing more family-friendly organised walk opportunities, and supporting people with physical and mental health conditions to become more active through walking. In December 2019, there were 108 walking groups operating across Derbyshire, supporting those new to walking, as well as those wishing to progress to more strenuous walks. There are also groups that support people with physical and mental health conditions, people with dementia and their carers and buggy walks that enable new mothers to socialise and be active. Covid-19 caused disruption to the walking groups, but many people continued to walk on their own or with a friend or family member. Now Covid-19 restrictions have lifted, groups have been reinstated. These groups and walk coordinators will now link and work closely with the new Walk Derbyshire programme and Active Neighbourhoods Pilot, funded through the Public Health Investment Fund as part of the whole system approach to walking.
- 4.5 Active Fostering supports initiatives to engage Looked After Children and their families in physical activity, including leisure centre access which is now supplemented by new and diverse activity opportunities. This is a priority area given that physical activity is one of the ways to

support children to develop skills and confidence to allow them to achieve their full potential. Approximately 300 fostering households in Derbyshire have a leisure pass (over 85% of fostering households). Of these, 90 households say they access activities through the scheme on a weekly basis, and over 20 Children in Care have learnt to swim in the year to March 2020. In the annual fostering survey, over 90% of the respondents gave Active Fostering the highest possible rating.

- 4.6 Jog Derbyshire works with people in communities to support them to become more active through jogging. The groups cater for everyone, regardless of age or ability, and are all led by qualified jog leaders. As of September 2019, there were 51 Jog Derbyshire groups established across Derbyshire, including groups affiliated to GP practices and workplaces.
- 4.7 One of the impacts of Covid-19 has been a reduction in physical activity levels, disproportionately affecting those aged over 55 and those with a disability or long-term condition (LTC). The proportion of disabled people and adults with LTC's who were 'inactive' rose significantly across the pandemic, with overall activity levels falling at higher rates compared to those without a disability or health condition. Exercise by Referral is specifically for people who are inactive and have specific health conditions. Long Covid has now been accepted as a condition and a pathway has been developed to access the Exercise by Referral service.
- 4.8 These grant agreements will be replaced with a wider physical activity commissioning model that is currently being explored. The grants were extended in a Cabinet paper in July 2020 in the expectation that this model would be ready to commission to start in April 2022. Due to the complexity of pulling together the whole physical activity system with a comprehensive needs assessment to inform a collaborative commissioning approach, coupled with Covid-19 pressures this deadline cannot be achieved. Extending the funding for the current service provision, as outlined in this report, will enable continuation of services that support residents to become more physically active, while engaging with stakeholders to develop a future model.

## **5. Alternative Options Considered**

- 5.1 Do not extend the current grant agreements - notice would need to be served and the service provision would cease, leaving a gap in services.

## **6. Implications**

- 6.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

## **7. Background Papers**

- 7.1 Physical Activity Cabinet paper – Investment in population nutrition and activity programme 09 July 2020

## **8. Appendices**

- 8.1 Appendix 1 – Implications

## **9. Recommendation(s)**

That Cabinet:

- a) Approve the extension of the existing physical activity grant funding for Exercise by Referral, Walk Derbyshire and Active Fostering services delivered by district and borough councils from 01 April 2022 to 31 March 2023 to the sum of £0.422m
- b) Approve the extension of the existing grant funding for Jog Derbyshire delivered by SHIFT from 01 April 2022 to 31 March 2023 to the sum of £0.050m

## **10. Reasons for Recommendation(s)**

- 10.1 Exercise by Referral ensures inactive residents of Derbyshire with specific health conditions have the opportunity for safe, supported physical activity sessions to help improve their health and wellbeing. The programme equips people with the knowledge, skills, confidence, and self-efficacy to be more active in their local leisure centre or through other community-based activity and to continue this longer term.
- 10.2 Jog Derbyshire provides access for individuals and groups within Derbyshire communities to become more active through jogging, including beginners with a Couch to 5k session.
- 10.3 Extending both these grant funded programmes for a further year to March 2023 will ensure continuation of services whilst a new more

comprehensive physical activity commissioning model is developed and procured.

**11. Is it necessary to waive the call in period?**

11.1 *No*

Report Adam Norris,                      Contact Adam.Norris@derbyshire.gov.uk,  
Author: Jackie Wagstaffe              details: Jackie.Wagstaffe@derbyshire.gov.uk

**Implications****Financial**

- 1.1 The allocations from the Public Health Grant per district/borough council are as follows

<b>Organisation</b>	<b>Allocation 01 April 2022 – 31 March 2023</b>
	<b>£</b>
Amber Valley	0.064m
Bolsover	0.055m
Chesterfield	0.080m
Derbyshire Dales	0.028m
Erewash	0.066m
High Peak	0.044m
North East Derbyshire	0.045m
South Derbyshire	0.040m
<b>Total EBR</b>	<b>0.422m</b>
SHIFT Jog Derbyshire	0.050m
<b>Total</b>	<b>0.472m</b>

**Legal**

2.1

- 2.2 The Council's standard grant agreement will be used to set out the terms and conditions for which the grant is made and will include terms that provide for clawback of funding in certain circumstances and shall also exclude running costs and on-going staff costs. Furthermore, to comply with the Council's new grant policy should this paper gain Cabinet approval checks will be carried out to ensure that the grant funded organisations are complying with the Data Protection Act 2018 and the UK retained version of the General Data Protection Regulation (GDPR) and that they have in place the following:

- A safeguarding children policy.



- A safeguarding vulnerable adults' policy.
- An equity/equality policy.
- An incident and accident policy.
- Codes *of* conduct where *relevant*.
- Insurance policies where *relevant*.

## **Human Resources**

- 3.1 The funding will enable local providers to deliver projects and services. Derbyshire County Council accepts no employment or future redundancy liability, with all employment and related matters to be managed by the providers.

## **Corporate objectives and priorities for change**

- 4.1 Corporate objectives for change include:
- Increased physical activity improves both physical and mental well-being.
  - Prevention and early intervention: promoted by increasing physical activity.

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Agenda Item

**FOR PUBLICATION**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET FOR EDUCATION**

**9 December 2021**

**Report of the Executive Director of Children's Services**

**Children's Services Capital Programme 2021-22 S106 allocation for the expansion at The Ecclesbourne School**

**Children's Services - Education**

**1. Divisions Affected**

1.1 Duffield

**2. Key Decision**

2.1 This is a key decision because it is likely to result in the Council incurring expenditure which is, or savings which are significant having regards to the budget for the service or function concerned (this is currently defined as (£500,000)).

**3. Purpose**

3.1 To inform Cabinet of the receipt of a recent Section 106 developer contribution and to seek approval for the allocation of this contribution to a project in line with the Section 106 agreement.

**4. Information and Analysis**

4.1 There is significant housing growth in the normal area of The Ecclesbourne School. The School has a masterplan to expand and improve its provision to meet the increased demand for places. It took the decision however to move forward with its building plans, ahead of

the receipt of funding under agreements under Section 106 Town and Country Planning Act 1990 on the basis that it would be repaid once those funds were received by the council. On the 26 August 2021 the Authority received £562,624.61 S106 funding details of which are shown in appendix 2. The most recent projects were an expansion of the sixth form block at a cost of £1,151,105 and the improvement to the science block costing £507,075 – making a total of £1,658,180.

On 20 July 2017 (minute number 212/17), Cabinet approved the allocation of £52,980 S106 funding towards the science block project and on 22 February 2018 (minute number 60/18) Cabinet also approved the allocation of £70,637.75 towards the sixth form block. On 11 March 2021 (minute number 54/21) Cabinet approved an additional allocation of £1,163,701 in Section 106 funding from the Kedleston Road development - planning reference AVA/2014/0928. This paper details the final contribution plus indexation from this development totalling £562,624. The proposal is to allocate the funds received to the projects at The Ecclesbourne School.

## **5. Consultation**

5.1 Not applicable

## **6. Alternative Options Considered**

6.1 Developer contributions are to be utilised as per the S106 agreements. If we did not allocate these contributions the funding for additional school places related to the growth in housing would have to come from Basic Need in order for the Authority to meet the statutory requirement for provision of school places.

## **7. Implications**

7.1 Appendix 1 sets out the relevant implications considered in the preparation of the report.

## **8. Background Papers**

8.1 These are held on file in the Children's Services Development Section

## **9. Appendices**

9.1 Appendix 1- Implications.

9.2 Appendix 2 – Proposed scheme

**10. Recommendation(s)**

That Cabinet:

- a) Notes the receipt of S106 funding.
- b) Approves the allocations to the project outlined in Appendix 2.

**11. Reasons for Recommendation(s)**

11.1 The approval of the S106 allocations will ensure that the funding can be spent in line with the agreement in the allotted time available and ensure there is sufficient capacity at schools in their normal areas.

**12. Is it necessary to waive the call in period?**

12.1 No

**Report Author: Jenny Webster**

**Contact details: x35808**

**This report has been approved by the following officers:**

<p><b>On behalf of:</b>  Director of Legal Services and Monitoring Officer Director of Finance and ICT Managing Executive Director Executive Director(s)</p>	
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**Implications**

**Financial**

- 1.1 On the 26 August 2021 the Authority received £562,624.61 S106 funding. Appendix 2 summarises the breakdown of the funds received. The total S106 to allocate is £562,624.

**Legal**

- 2.1 The projects have been agreed in line with the individual legal agreements under S106 Town and Country Planning Act 1990 detailed above in order to make the original development proposals acceptable in planning terms.

**Human Resources**

- 3.1 None

**Information Technology**

- 4.1 None

**Equalities Impact**

- 5.1 No impact

**Corporate objectives and priorities for change**

- 6.1 Not applicable

**Other (for example, Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)**

- 7.1 The projects were procured and managed by the Trust and the payment of the funding will be the subject of a legal agreement between DCC and the Trust to ensure that the funds have been used in accordance with the Section 106 agreement.

## Appendix 2

<b>School</b>	<b>Development</b>	<b>Funds £</b>	<b>Project</b>
The Ecclesbourne School	Miller Homes, Kedleston Road AVA/2014/0928	392,399 (Secondary)	To repay The Ecclesbourne School for the sixth form project and works to the science block as previously agreed.
	Miller Homes, Kedleston Road AVA/2014/0928	170,225 (Post 16)	
	<b>Total to allocate</b>		





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